



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2021
Period Ending September 30, 2020
Highway Fund**



North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit A - Balance Sheet

Assets:

Cash

Cash in Transit	42,582,988.45	
GARVEE Debt Service Reserve Fund	-	
Investments with Fiscal Agent 2015	76.00	
Investments with Fiscal Agent 2017	42.90	
Investments with Fiscal Agent 2019	402,901,687.22	
Investments with Fiscal Agent Energy Savings Loan	-	
Investments w/Fiscal Agent Roadway Lighting Loan	3,909,764.50	
State Treasurer Bank Balance	319,873,935.24	
Total Cash		769,268,494.31

Current Assets

Accounts Receivable	99,117,600.40	
Due From Other Funds	34,048,392.10	
Other Receivables	106,585.24	
Inventory	78,592,674.88	
Other Current Assets	828,191.00	
Total Current Assets		212,693,443.62

Non Current Assets

Capital Assets	1,283,633,969.53	
Long Term Debt	1,124,171,644.80	
Total Noncurrent Assets		2,407,805,614.33

Total Assets

3,389,767,552.26

Liabilities, Retained Earnings & Fund Balance:

Liabilities

Accounts Payable	20,198,718.65	
Intragovernmental Payable	170,267,940.28	
GARVEE Bonds Payable 2015	252,075,000.00	
GARVEE Bonds Payable 2017	142,295,000.00	
GARVEE Bonds Payable 2019	565,155,000.00	
Energy Savings Note Payable	4,281,528.35	
Roadway Lighting Note Payable	28,560,446.24	
Unamortized Premium on Bonds Payable	132,173,717.05	
Due To Other Funds	5,305,740.30	
Other Liabilities	850,733,687.40	
Total Liabilities		2,171,046,778.27

Retained Earnings

Reserves and Allowances	66,464,299.79	
Retained Earnings	(847,214,380.31)	
Investment in Property	1,135,031,781.78	
Total Retained Earnings		354,281,701.26

Fund Balance

Opening Fund Balance	601,795,085.80	
Revenues	1,241,213,908.73	
Expenditures	(978,569,921.80)	
Change in Fund Balance Year to Date	262,643,986.93	

Total Fund Balance

864,439,072.73

Total Liabilities, Retained Earnings and Fund Balance

3,389,767,552.26

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B1 pg 1 of 6 - Statement of Fees, Taxes and Other

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Motor Vehicle Revenue							
Truck Licenses	20,057,355.02	59,971,044.85	14,621,101.05	49,807,324.80	-	-	59,971,044.85
Title Fee	55,833.00	171,349.00	63,794.00	209,697.00	-	-	171,349.00
Staggered Registration	26,849,900.64	84,602,949.54	20,529,567.85	72,122,665.31	-	-	84,602,949.54
Gasoline Tax	146,311,250.62	476,530,421.56	133,554,884.89	384,234,115.39	-	-	476,530,421.56
Less: DOR Refund	-	-	-	(8,636,153.00)	-	-	-
Sub Total Gas Tax	146,311,250.62	476,530,421.56	133,554,884.89	375,597,962.39	-	-	476,530,421.56
Gasoline Tax - Lust Fund	1,859,892.94	6,060,775.67	1,692,498.41	4,808,508.38	-	-	6,060,775.67
Gasoline Tax - Lust Fund Transferred Out	(1,859,892.94)	(6,060,775.67)	(1,692,498.41)	(4,808,508.38)	-	-	(6,060,775.67)
Gasoline Tax GF Inspection Tax	61,257.76	167,398.75	91,470.88	273,557.09	-	-	167,398.75
Gasoline Tax GF Transferred Out	(61,257.76)	(167,398.75)	(91,470.88)	(273,557.09)	-	-	(167,398.75)
Gasoline Inspection Tax - HF	1,339,916.73	4,450,443.12	1,389,993.23	4,136,504.80	-	-	4,450,443.12
Registration Fees	587,796.51	2,036,611.33	441,417.00	1,481,298.07	-	-	2,036,611.33
Drivers License Fees	8,950,610.49	27,712,849.21	10,039,519.70	33,194,733.00	-	-	27,712,849.21
Auto Safety Equip. Inspection Fees	253,669.65	793,581.55	206,710.81	763,079.25	-	-	793,581.55
Financial Security Restoration Fees	507,050.00	1,566,200.00	358,700.00	1,144,700.00	-	-	1,566,200.00
Lien Recording Fees	32,591.00	80,314.00	32,812.00	101,332.00	-	-	80,314.00
Exhaust Emission Inspection	1,680,967.08	5,400,638.44	1,446,568.22	5,496,386.20	-	-	5,400,638.44
International Registration Plan Fees	6,500,505.56	21,158,054.00	4,691,942.26	17,790,977.59	-	-	21,158,054.00
Dealers' Manufacturer's License Fees	145,347.25	450,354.75	147,730.00	397,485.00	-	-	450,354.75
Process Service Fees	299,860.50	828,275.50	311,685.00	978,715.00	-	-	828,275.50
Over Weight/Size Permits	531,997.01	1,656,314.02	564,057.35	1,851,608.40	-	-	1,656,314.02
Motor Carrier Safety Fees	5,756.49	13,636.39	3,552.56	14,015.60	-	-	13,636.39
Highway Usage Registration Fees	15,000.00	66,750.00	14,750.00	94,750.00	-	-	66,750.00
Highway Use Tax	-	-	-	-	-	-	-
Aviation / Jet Fuel Tax	6,205,328.58	6,205,328.58	7,878,565.01	7,878,565.01	-	-	6,205,328.58
DMV Other Fees	451,600.29	1,195,327.05	533,647.82	1,670,057.17	-	-	1,195,327.05
Total Motor Vehicle Revenue	220,782,336.42	694,890,442.89	196,830,998.75	574,731,856.59	-	-	694,890,442.89
Other Revenue							
Interest on Funds Invested by Treasurer	240,930.24	501,063.52	454,711.39	1,005,708.91	-	-	501,063.52
Miscellaneous Income	(2,791,965.74)	105,850.18	5,663.61	374,396.33	-	-	105,850.18
Miscellaneous Income - Return Check Fee	14,955.05	42,941.54	11,962.59	39,245.67	-	-	42,941.54
Miscellaneous Income - Interest	-	(565,854.79)	9,197.37	19,201.02	-	-	(565,854.79)
Freight Rail & Rail Crossings Safety Improvement Fund	-	-	-	-	-	-	-
Total Other Revenue	(2,536,080.45)	84,000.45	481,534.96	1,438,551.93	-	-	84,000.45
Total Net Revenue	218,246,255.97	694,974,443.34	197,312,533.71	576,170,408.52	-	-	694,974,443.34

*Revenue breakout is not available at this time per Fiscal Research Division and Office of State Budget and Management.

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B1 pg 2 of 6 - Statement of Fees, Taxes and Other

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Refunds	Uncollectibles	Net Revenue
Motor Vehicle Revenue								
Truck Licenses	20,314,938.87	261,288.07	(3,704.22)	20,057,355.02	60,711,817.29	741,246.30	(473.86)	59,971,044.85
Title Fee	55,838.00	2.00	3.00	55,833.00	171,364.00	9.00	6.00	171,349.00
Staggered Registration	26,867,981.21	17,640.17	440.40	26,849,900.64	84,670,267.69	67,606.76	(288.61)	84,602,949.54
Gasoline Tax	150,892,762.07	4,581,511.45	-	146,311,250.62	494,904,866.28	18,374,444.72	-	476,530,421.56
Less: DOR Refund	-	-	-	-	-	-	-	-
Sub Total Gas Tax	150,892,762.07	4,581,511.45	-	146,311,250.62	494,904,866.28	18,374,444.72	-	476,530,421.56
Gasoline Tax - Lust Fund	1,859,892.94	-	-	1,859,892.94	6,060,775.67	-	-	6,060,775.67
Gasoline Tax - Lust Fund Transferred Out	(1,859,892.94)	-	-	(1,859,892.94)	(6,060,775.67)	-	-	(6,060,775.67)
Gasoline Tax GF Inspection Tax	61,257.76	-	-	61,257.76	167,398.75	-	-	167,398.75
Gasoline Tax GF Transferred Out	(61,257.76)	-	-	(61,257.76)	(167,398.75)	-	-	(167,398.75)
Gasoline Inspection Tax - HF	1,339,916.73	-	-	1,339,916.73	4,450,443.12	-	-	4,450,443.12
Registration Fees	588,201.51	460.00	(55.00)	587,796.51	2,037,756.33	1,180.00	(35.00)	2,036,611.33
Drivers License Fees	8,951,204.49	244.00	350.00	8,950,610.49	27,714,629.51	1,522.30	258.00	27,712,849.21
Auto Safety Equip. Inspection Fees	253,669.65	-	-	253,669.65	793,581.55	-	-	793,581.55
Financial Security Restoration Fees	507,300.00	150.00	100.00	507,050.00	1,566,500.00	300.00	-	1,566,200.00
Lien Recording Fees	32,604.00	-	13.00	32,591.00	80,431.00	-	117.00	80,314.00
Exhaust Emission Inspection	1,680,967.08	-	-	1,680,967.08	5,400,638.44	-	-	5,400,638.44
International Registration Plan Fees	6,520,942.18	15,903.89	4,532.73	6,500,505.56	21,310,971.44	146,392.66	6,524.78	21,158,054.00
Dealers' Manufacturer's License Fees	145,347.25	-	-	145,347.25	450,354.75	-	-	450,354.75
Process Service Fees	299,860.50	-	-	299,860.50	828,225.50	-	(50.00)	828,275.50
Over Weight/Size Permits	531,997.01	-	-	531,997.01	1,656,314.02	-	-	1,656,314.02
Motor Carrier Safety Fees	5,680.49	-	(76.00)	5,756.49	13,521.39	-	(115.00)	13,636.39
Highway Usage Registration Fees	15,000.00	-	-	15,000.00	66,750.00	-	-	66,750.00
Highway Use Tax	-	-	-	-	-	-	-	-
Aviation / Jet Fuel Tax	6,205,328.58	-	-	6,205,328.58	6,205,328.58	-	-	6,205,328.58
DMV Other Fees	447,953.18	230.17	(3,877.28)	451,600.29	1,186,299.07	926.17	(9,954.15)	1,195,327.05
Total Motor Vehicle Revenue	225,657,492.80	4,877,429.75	(2,273.37)	220,782,336.42	714,220,059.96	19,333,627.91	(4,010.84)	694,890,442.89
Other Revenue								
Interest on Funds Invested by Treasurer	240,930.24	-	-	240,930.24	501,063.52	-	-	501,063.52
Miscellaneous Income	(2,791,965.74)	-	-	(2,791,965.74)	105,850.18	-	-	105,850.18
Miscellaneous Income - Return Check Fee	12,416.13	-	(2,538.92)	14,955.05	34,728.40	-	(8,213.14)	42,941.54
Miscellaneous Income - Interest	-	-	-	-	(565,854.79)	-	-	(565,854.79)
Freight Rail & Rail Crossings Safety Improvement Fund	-	-	-	-	-	-	-	-
Total Other Revenue	(2,538,619.37)	-	(2,538.92)	(2,536,080.45)	75,787.31	-	(8,213.14)	84,000.45
Total Net Revenue	223,118,873.43	4,877,429.75	(4,812.29)	218,246,255.97	714,295,847.27	19,333,627.91	(12,223.98)	694,974,443.34

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B1 pg 3 of 6 - Statement of Fees, Taxes and Other

	Current Month						Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Transfers	Account Balance	Gross Revenue	Refunds	Uncollectibles	Net Revenue
Payables and Receivables										
Division Of Air Quality - DENR	206,540.62	384.28	-	206,156.34	221,470.73	(15,314.39)	663,528.64	1,186.19	-	662,342.45
Sales Tax	48,226.03	-	-	48,226.03	7,142.61	41,083.42	79,838.85	-	-	79,838.85
Special Registration Plate Fund	258,546.67	560.00	-	257,986.67	276,279.17	(18,292.50)	835,415.01	1,460.00	(30.00)	833,985.01
Personalized Registration Plate	284,847.50	2,240.00	(20.00)	282,627.50	297,270.83	(14,643.33)	915,992.50	6,880.00	(10.00)	909,122.50
Collegiate & Cultural Attraction Plate Fund	315,858.33	720.00	15.00	315,123.33	337,770.00	(22,646.67)	1,015,606.66	2,000.00	(20.00)	1,013,626.66
Parks & Recreation Trust Fund	142,433.75	1,130.00	(15.00)	141,318.75	148,650.42	(7,331.67)	457,996.25	3,430.50	(10.00)	454,575.75
Rescue Squad Workers' Relief Fund	94,519.78	1,496.25	-	93,023.53	-	93,023.53	297,708.05	3,513.52	-	294,194.53
Transit Authority	1,765,983.00	30.00	64.00	1,765,889.00	-	1,765,889.00	5,536,492.70	116.16	29.00	5,536,347.54
Volunteer Rescue / EMS Fund	142,772.66	2,246.22	-	140,526.44	-	140,526.44	449,752.12	5,275.98	-	444,476.14
Natural Heritage Trust Fund	449,126.25	3,435.00	(45.00)	445,736.25	468,813.75	(23,077.50)	1,448,221.25	10,410.00	(30.00)	1,437,841.25
DWI Restoration Fee - General Fund	-	-	-	-	-	-	-	-	-	-
DWI Restoration Fee - Forensic Testing	33,755.91	25.00	-	33,730.91	30,707.88	3,023.03	93,538.80	50.00	-	93,488.80
Motorcycle Safety Instruction	76,544.48	4.00	(3.00)	76,543.48	84,582.17	(8,038.69)	270,245.33	4.00	1.00	270,240.33
Special License - Advance Payments	-	-	-	-	-	-	-	-	-	-
Compensation Payable	174,356.00	26.00	6.00	174,324.00	174,455.00	(131.00)	523,358.00	66.00	10.00	523,282.00
DMV Organ Donor Website	22,278.60	-	-	22,278.60	35,699.90	(13,421.30)	57,978.50	-	-	57,978.50
DMV Mercury Switch Removal	41,001.20	7.60	3.20	40,990.40	40,862.80	127.60	126,285.40	18.40	(0.40)	126,267.40
UCR	-	-	-	-	-	-	-	-	-	-
Part Fee	13,059.74	-	(1.00)	13,060.74	-	13,060.74	41,695.73	2.00	(3.00)	41,696.73
Civil Penalty - OS/OW and Dealer	430,317.00	8,430.00	-	421,887.00	470,811.42	(48,924.42)	1,401,872.16	8,430.00	43.60	1,393,398.56
Civil Penalty - Liability Insurance	2,050,850.00	5,050.00	1,100.00	2,044,700.00	1,798,695.00	246,005.00	5,764,900.00	15,455.00	1,900.00	5,747,545.00
Vehicle Registration Late Fee	1,774,625.00	3,445.00	90.00	1,771,090.00	-	1,771,090.00	2,347,505.00	998,290.00	160.03	1,349,054.97
Financial Responsibility	20,250.00	-	-	20,250.00	-	20,250.00	63,000.00	-	-	63,000.00
Vehicle Property Tax	95,913,017.19	448.83	1,680.92	95,910,887.44	95,910,887.44	-	295,014,871.63	141,235.16	(4,937.88)	294,878,574.35
Total Payables And Receivables	104,258,909.71	29,678.18	2,875.12	104,226,356.41	100,304,099.12	3,922,257.29	317,405,802.58	1,197,822.91	(2,897.65)	316,210,877.32

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B1 pg 4 of 6 - Statement of Fees, Taxes and Other

	Current Month						Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Transfers	Account Balance	Gross Revenue	Refunds	Uncollectibles	Net Revenue
Miscellaneous Accounts										
Cashiers Advance	-	-	-	-	-	-	-	-	-	-
Bad Checks	166,950.54	183,462.17	(20,208.79)	3,697.16	-	3,697.16	588,426.25	550,378.47	(16,967.99)	55,015.77
Bad Drafts	-	-	-	-	-	-	-	-	-	-
Bad Credit Card	(1,339.19)	254.12	-	(1,593.31)	-	(1,593.31)	(1,753.30)	254.12	-	(2,007.42)
Accounts Receivable	267,396.16	5,233.22	-	262,162.94	-	262,162.94	14,433.03	5,233.22	-	9,199.81
Drafts Receivable	136,091.39	-	-	136,091.39	-	136,091.39	462,069.30	-	-	462,069.30
Fees Due Other Jurisdictions	341,703.65	5,851.26	-	335,852.39	-	335,852.39	517,187.92	16,916.43	-	500,271.49
Fuel Tax Permits	-	-	-	-	-	-	-	-	-	-
Citation Overpayment	9,094.64	9,138.37	-	(43.73)	-	(43.73)	14,841.87	13,517.83	-	1,324.04
Mail Order Overage Refund (>\$5)	126,977.50	85,285.48	-	41,692.02	-	41,692.02	300,941.38	187,734.71	-	113,206.67
Enforcement Collection - STARS	-	-	-	-	-	-	-	-	-	-
Remittance Processor	73,375.96	61,730.00	-	11,645.96	-	11,645.96	226,322.53	185,204.07	(142.48)	41,260.94
Same Day Deposits / Refunds	29,733.76	29,543.66	-	190.10	-	190.10	82,836.97	82,443.15	-	393.82
Suspense Accounts	(250.00)	-	-	(250.00)	-	(250.00)	-	-	-	-
DOR - Alert Collections	-	-	-	-	-	-	-	-	-	-
A/P Collection Agency Fees	-	-	-	-	-	-	-	-	-	-
Security Deposits - Driver Records	-	-	-	-	-	-	-	-	-	-
Title Bond	-	-	-	-	-	-	-	-	-	-
Collision Report Bond	-	-	-	-	-	-	-	-	-	-
Dealer Cash Bond	-	-	-	-	-	-	-	-	-	-
Postage	-	-	-	-	-	-	-	-	-	-
Mail Order Shortage	(2,645.42)	-	-	(2,645.42)	-	(2,645.42)	(3,149.44)	-	-	(3,149.44)
Mail Order Overage Refund (<\$5)	3,023.72	7.33	(29.01)	3,045.40	-	3,045.40	101,837.66	144.47	(29.01)	101,722.20
DMV Service Credit	85,893.20	87,244.45	-	(1,351.25)	-	(1,351.25)	230,078.08	243,117.13	-	(13,039.05)
DMV VPT Compensation	-	-	-	-	-	-	-	-	-	-
Total Miscellaneous Accounts	1,236,005.91	467,750.06	(20,237.80)	788,493.65	-	788,493.65	2,534,072.25	1,284,943.60	(17,139.48)	1,266,268.13

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B1 pg 5 of 6 - Statement of Fees, Taxes and Other

	Current Month	Year to Date		
	Gross Receipts	Gross Receipts	Refunds / Uncollectibles	Net Receipts
Drivers License:				
Classified License - Class A	5,572.80	13,550.30	71.50	13,478.80
Classified License - Class B	6,458.25	16,137.45	27.50	16,109.95
Classified License - Class C	2,839,755.90	7,679,262.85	2,515.10	7,676,747.75
Duplicate Licenses	911,263.60	2,657,735.15	612.65	2,657,122.50
Learner's Permit	357,887.35	905,992.15	928.50	905,063.65
Restoration Fees	563,439.84	1,557,440.70	750.00	1,556,690.70
Special Id Cards	15,749.55	48,336.75	(43.00)	48,379.75
Record Fees	3,099,916.70	11,428,315.75	96,042.25	11,332,273.50
Driver Improvement Clinic Fees	485.00	2,305.00	-	2,305.00
Commercial Application	84,202.25	218,377.75	647.50	217,730.25
Commercial License - Class A	415,250.75	1,132,426.25	881.25	1,131,545.00
Commercial License - Class B	205,110.00	534,103.00	379.50	533,723.50
Commercial License - Class C	6,514.50	17,909.50	107.50	17,802.00
Endorsement	194,889.70	521,070.40	482.85	520,587.55
Limited Provisional License	167,667.05	834,224.45	214.00	834,010.45
Full Provisional License	65,256.65	207,257.65	22.00	207,235.65
Motorcycle Permit	13,952.35	43,212.70	193.50	43,019.20
Commercial Driver Training	48.00	48.00	1,750.00	(1,702.00)
Total Drivers License	8,953,420.24	27,817,705.80	105,582.60	27,712,123.20
Enforcement Penalties				
Civil Penalties - Inspection Maintenance	450.00	12,800.00	-	12,800.00
Civil Penalties - Dealers	-	750.00	-	750.00
Civil Penalties - Emissions	885.24	13,174.91	-	13,174.91
Civil Penalties - Out Of Service	111,703.89	327,125.20	-	327,125.20
Civil Penalties - Oversize Permits	32,227.88	96,737.76	500.00	96,237.76
Civil Penalties - License & Weight	430,317.00	1,401,122.16	8,473.60	1,392,648.56
Civil Penalties - Article 12 Violations	12,250.00	37,100.00	-	37,100.00
Civil Penalties - No Decal	24,900.00	68,490.00	(100.00)	68,590.00
Total Enforcement Penalties	612,734.01	1,957,300.03	8,873.60	1,948,426.43

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B1 pg 6 of 6 - Statement of Fees, Taxes and Other

	Current Month				Year to Date			
	Current Year	Prior Year	Increase / (Decrease)	Percent	Current Year	Prior Year	Increase / (Decrease)	Percent
Motor Vehicle Fees								
Motor Vehicle License Fee	53,407,761.22	39,842,611.16	13,565,150.06	34.05%	165,731,842.39	139,721,036.70	26,010,805.69	18.62%
Miscellaneous Registration	587,796.51	441,417.00	146,379.51	33.16%	2,036,611.33	1,481,298.07	555,313.26	37.49%
Driver License Fees	8,950,344.49	10,039,095.70	(1,088,751.21)	-10.85%	27,712,123.20	33,193,297.00	(5,481,173.80)	-16.51%
Safety Equipment Inspection	253,669.65	206,710.81	46,958.84	22.72%	793,581.55	763,079.25	30,502.30	4.00%
Financial Security Restoration Fees	507,050.00	358,700.00	148,350.00	41.36%	1,566,200.00	1,144,700.00	421,500.00	36.82%
Lien Recording	32,591.00	32,812.00	(221.00)	-0.67%	80,314.00	101,332.00	(21,018.00)	-20.74%
Emissions	1,680,967.08	1,446,568.22	234,398.86	16.20%	5,400,638.44	5,496,386.20	(95,747.76)	-1.74%
Dealer & Manufacturer License Fees	145,347.25	147,730.00	(2,382.75)	-1.61%	450,354.75	397,485.00	52,869.75	13.30%
Process Service Fee	249,750.00	252,900.00	(3,150.00)	-1.25%	689,500.00	813,300.00	(123,800.00)	-15.22%
Motor Carrier Safety Regulation	5,756.49	3,652.56	2,103.93	57.60%	12,842.39	14,146.60	(1,304.21)	-9.22%
Penalty License & Weight Enforcement	181,917.01	179,309.26	2,607.75	1.45%	555,027.87	539,794.89	15,232.98	2.82%
Electronic & Bulk Data Records	139,601.67	154,895.64	(15,293.97)	-9.87%	412,459.21	450,239.58	(37,780.37)	-8.39%
Receipts	100,649.85	124,024.10	(23,374.25)	-18.85%	272,815.80	404,189.00	(131,373.20)	-32.50%
A/R Late Penalty	125,540.53	125,108.96	431.57	0.34%	398,714.29	406,129.54	(7,415.25)	-1.83%
A/R Interest Penalty	35,914.83	26,226.86	9,687.97	36.94%	110,418.98	85,992.40	24,426.58	28.41%
Registration Renewal Interest	123,182.97	214,865.33	(91,682.36)	-42.67%	175,107.45	677,186.01	(502,078.56)	-74.14%
Hearing Fees	154,445.00	123,750.00	30,695.00	24.80%	411,800.00	420,555.00	(8,755.00)	-2.08%
Total Motor Vehicle Fees	66,682,285.55	53,720,377.60	12,961,907.95	24.13%	206,810,351.65	186,110,147.24	20,700,204.41	11.12%
Payables And Receipts								
Sales Tax	48,226.03	7,815.60	40,410.43	517.05%	79,838.85	58,952.82	20,886.03	35.43%
Special Registration Plate Fund	257,986.67	201,674.17	56,312.50	27.92%	833,985.01	718,810.83	115,174.18	16.02%
Personalized Registration Plate	282,627.50	217,448.33	65,179.17	29.97%	909,122.50	771,221.67	137,900.83	17.88%
Safety Inspection & Exhaust Emission	439,706.31	372,070.92	67,635.39	18.18%	1,401,013.12	1,435,807.88	(34,794.76)	-2.42%
Collegiate & Cultural Attraction Plate Fund	315,123.33	237,938.33	77,185.00	32.44%	1,013,626.66	864,366.24	149,260.42	17.27%
Parks & Recreation Trust Fund	141,318.75	108,769.17	32,549.58	29.93%	454,575.75	385,690.83	68,884.92	17.86%
Transit Authority / Transpark	1,765,889.00	1,415,421.96	350,467.04	24.76%	5,536,347.54	4,991,423.60	544,923.94	10.92%
Natural Heritage Trust Fund	445,736.25	343,952.50	101,783.75	29.59%	1,437,841.25	1,219,027.50	218,813.75	17.95%
DWI Restoration Fee - General Fund	-	-	-	0.00%	-	-	-	0.00%
DWI Restoration Fee - Forensic Testing	33,730.91	32,650.00	1,080.91	3.31%	93,488.80	110,250.00	(16,761.20)	-15.20%
Motorcycle Safety Instruction	76,543.48	65,418.67	11,124.81	17.01%	270,240.33	245,635.79	24,604.54	10.02%
Special License - Advance Payments	-	11,280.00	(11,280.00)	-100.00%	-	11,280.00	(11,280.00)	-100.00%
Compensation Payable	174,324.00	(163.00)	174,487.00	-107047.24%	523,282.00	350,466.00	172,816.00	49.31%
DMV Organ Donor Website	22,278.60	33,375.40	(11,096.80)	-33.25%	57,978.50	107,565.60	(49,587.10)	-46.10%
DMV Mercury Switch Removal	40,990.40	39,262.00	1,728.40	4.40%	126,267.40	127,372.60	(1,105.20)	-0.87%
UCR	-	-	-	-	-	-	-	0.00%
Part Fee	13,060.74	10,883.00	2,177.74	20.01%	41,696.73	37,910.42	3,786.31	9.99%
Civil Penalty - OS/OW and Dealer	421,887.00	451,028.19	(29,141.19)	-6.46%	1,393,398.56	1,396,042.83	(2,644.27)	-0.19%
Civil Penalty - Liability Insurance	2,044,700.00	2,472,950.00	(428,250.00)	-17.32%	5,747,545.00	7,907,320.00	(2,159,775.00)	-27.31%
Vehicle Registration Late Fee	1,771,090.00	2,499,900.00	(728,810.00)	-29.15%	1,349,054.97	8,077,495.00	(6,728,440.03)	-83.30%
Financial Responsibility	20,250.00	525,250.00	(505,000.00)	96.14%	63,000.00	606,640.00	(543,640.00)	-89.61%
Vehicle Property Tax	95,910,887.44	73,973,510.95	21,937,376.49	29.66%	294,878,574.35	266,029,571.77	28,849,002.58	10.84%
Total Payables And Receipts	104,226,356.41	83,020,436.19	21,205,920.22	25.54%	316,210,877.32	295,452,851.38	20,758,025.94	7.03%
Grand Totals	170,908,641.96	136,740,813.79	34,167,828.17	24.99%	523,021,228.97	481,562,998.62	41,458,230.35	8.61%

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B2 pg 1 of 3 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Primary Construction								
Local Government Participation	139,808.00	15,750.00	155,558.00	-	35,558.00	-	35,558.00	120,000.00
Property Owners Participation	-	-	-	-	-	-	-	-
Outdoor Advertising	-	2,760.00	2,760.00	360.00	2,760.00	1,440.00	3,000.00	-
Junkyard Control	-	-	-	-	-	-	-	-
Primary Construction	139,808.00	18,510.00	158,318.00	360.00	38,318.00	1,440.00	38,558.00	120,000.00
Secondary Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	8,100.00	-	8,100.00	-	-	-	14,350.00	8,100.00
Secondary Construction	8,100.00	-	8,100.00	-	-	-	14,350.00	8,100.00
Spot Safety								
Local Government Participation	11,177.00	-	11,177.00	-	-	-	-	11,177.00
Property Owners Participation	60,000.00	-	60,000.00	-	60,000.00	-	-	-
Spot Safety	71,177.00	-	71,177.00	-	60,000.00	-	-	11,177.00
Contingency								
Local Government Participation	381,594.48	-	381,594.48	-	-	-	-	381,594.48
Property Owners Participation	216,439.00	-	216,439.00	-	-	-	-	216,439.00
Contingency	598,033.48	-	598,033.48	-	-	-	-	598,033.48
Primary Maintenance								
Local Government Participation	86,585.16	-	86,585.16	-	-	1,438,786.02	1,438,786.02	86,585.16
Property Owners Participation	-	-	-	-	-	-	21,075.54	-
Damage Claims Statewide	(63,843.54)	27,219,779.12	27,155,935.58	23,976,961.83	27,219,779.12	1,553,605.26	7,714,438.74	(63,843.54)
LOGO Annual Fees State Wide	-	846,000.00	846,000.00	289,800.00	847,200.00	261,000.00	797,475.00	(1,200.00)
TODS Statewide	-	20,200.00	20,200.00	7,400.00	20,200.00	7,600.00	19,400.00	-
Primary Maintenance	22,741.62	28,085,979.12	28,108,720.74	24,274,161.83	28,087,179.12	3,260,991.28	9,991,175.30	21,541.62

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B2 pg 2 of 3 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Secondary Maintenance								
Local Government Participation	123,233.00	(45,180.49)	78,052.51	-	28,819.51	-	-	49,233.00
Property Owners Participation	65,000.00	-	65,000.00	-	-	-	-	65,000.00
Damage Claims Statewide	(7,500.00)	3,760,602.82	3,753,102.82	3,292,833.66	3,760,602.82	100,106.96	250,164.71	(7,500.00)
Lust Fund Match Statewide	-	-	-	-	-	-	-	-
Secondary Maintenance	180,733.00	3,715,422.33	3,896,155.33	3,292,833.66	3,789,422.33	100,106.96	250,164.71	106,733.00
Contract Resurfacing								
Local Government Participation	100,000.00	-	100,000.00	-	-	83,375.59	83,375.59	100,000.00
Property Owners Participation	83,732.00	-	83,732.00	-	81,232.00	-	-	2,500.00
Contract Resurfacing	183,732.00	-	183,732.00	-	81,232.00	83,375.59	83,375.59	102,500.00
Ferry								
Property Owners Participation	-	-	-	-	-	-	-	-
Department of Homeland Security	175,246.00	267,946.00	443,192.00	-	-	-	-	443,192.00
Ferry	175,246.00	267,946.00	443,192.00	-	-	-	-	443,192.00
Capital Improvements								
Local Government Participation	-	-	-	-	-	-	-	-
Capital Improvements	-	-	-	-	-	-	-	-
FHWA Construction/SPR/MP								
Local Government Participation	77,924,546.03	3,691,904.55	81,616,450.58	4,464,401.06	7,093,083.04	201,301.96	2,454,005.29	74,523,367.54
Property Owners Participation	7,061,719.14	456,656.26	7,518,375.40	40,000.00	710,130.00	(112,855.51)	1,441,063.50	6,808,245.40
Federal Aid	1,643,306,074.54	2,242,876.65	1,645,548,951.19	109,791,804.00	284,566,314.00	184,421,165.00	331,511,554.00	1,360,982,637.19
GARVEE	166,505,132.00	(995,414.00)	165,509,718.00	-	23,942,779.00	-	18,375,581.00	141,566,939.00
Stimulus	-	-	-	-	-	-	-	-
FHWA Construction/SPR/MP	1,894,797,471.71	5,396,023.46	1,900,193,495.17	114,296,205.06	316,312,306.04	184,509,611.45	353,782,203.79	1,583,881,189.13
Governors' Highway Safety								
National Highway Safety Administration	34,456,537.86	976,692.35	35,433,230.21	933,189.00	2,802,980.00	2,000,506.00	4,895,987.00	32,630,250.21
Governor's Highway Safety	34,456,537.86	976,692.35	35,433,230.21	933,189.00	2,802,980.00	2,000,506.00	4,895,987.00	32,630,250.21
Rail Program								
Local Government Participation	11,597,315.05	-	11,597,315.05	-	429,014.34	-	176,900.49	11,168,300.71
Property Owners Participation	8,804,134.58	-	8,804,134.58	-	-	-	-	8,804,134.58
Grant Federal Rail Administration- Statewide	6,491,480.00	-	6,491,480.00	54,120.00	111,946.00	885,256.00	1,033,227.00	6,379,534.00
ARRA	-	-	-	-	-	-	-	-
Rail Program	26,892,929.63	-	26,892,929.63	54,120.00	540,960.34	885,256.00	1,210,127.49	26,351,969.29
Airport Program								
Grant Federal Aviation Adm. Statewide	32,018,404.06	27,317,797.00	59,336,201.06	1,743,503.00	3,366,089.00	4,706,653.00	9,875,787.00	55,970,112.06
CARES Act	2,765,000.00	1,864,980.00	4,629,980.00	-	-	-	-	4,629,980.00
Airport Program	34,783,404.06	29,182,777.00	63,966,181.06	1,743,503.00	3,366,089.00	4,706,653.00	9,875,787.00	60,600,092.06

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B2 pg 3 of 3 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Public Transportation								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	-	-	-	-	-	-	-	-
Federal Transit Authority	60,479,245.66	30,790,529.00	91,269,774.66	388,948.00	7,568,443.00	3,676,070.00	11,629,344.00	83,701,331.66
ARRA	-	-	-	-	-	-	-	-
Public Transportation CARES 2020	21,765,373.00	41,410,225.00	63,175,598.00	2,150,366.00	6,767,309.00	-	-	56,408,289.00
Public Transportation	82,244,618.66	72,200,754.00	154,445,372.66	2,539,314.00	14,335,752.00	3,676,070.00	11,629,344.00	140,109,620.66
Non-System Streets								
Reimbursement of Expense Statewide	5,663,123.90	461,425.16	6,124,549.06	59,498.40	293,746.78	134,966.00	932,836.15	5,830,802.28
Non-System Streets	5,663,123.90	461,425.16	6,124,549.06	59,498.40	293,746.78	134,966.00	932,836.15	5,830,802.28
Motor Carrier Safety Grants								
FHWA	12,961,150.02	-	12,961,150.02	-	-	28,625.00	238,625.00	12,961,150.02
Motor Carrier Safety Grants	12,961,150.02	-	12,961,150.02	-	-	28,625.00	238,625.00	12,961,150.02
NC Emergency/FEMA								
Primary System Statewide	(1,150,290.81)	-	(1,150,290.81)	1,870,397.03	1,963,231.30	-	-	(3,113,522.11)
Secondary System Statewide	25,476,906.95	11,445.41	25,488,352.36	8,676,654.00	11,428,034.97	313,329.31	313,329.31	14,060,317.39
Urban System Statewide	-	-	-	-	-	-	-	-
Fire Prevention and Safety	525,000.00	-	525,000.00	-	-	-	-	525,000.00
Dept of Insurance Reimbursement	1,224,194.96	-	1,224,194.96	-	-	-	-	1,224,194.96
NC Emergency/FEMA	26,075,811.10	11,445.41	26,087,256.51	10,547,051.03	13,391,266.27	313,329.31	313,329.31	12,695,990.24
Division Small Urban Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	268,000.00	-	268,000.00	-	-	169,534.28	169,534.28	268,000.00
Division Small Urban Construction	268,000.00	-	268,000.00	-	-	169,534.28	169,534.28	268,000.00
Economic Development								
Local Government Match Statewide	-	-	-	-	-	-	-	-
Property Owners Participation	216,543.48	-	216,543.48	-	68,850.28	-	31,920.92	147,693.20
Economic Development	216,543.48	-	216,543.48	-	68,850.28	-	31,920.92	147,693.20
Bridge Program								
Local Government Match Statewide	-	-	-	-	-	-	-	-
Property Owners Participation	2,176,032.53	258,929.69	2,434,962.22	-	1,822,235.54	-	230,611.87	612,726.68
Bridge Program	2,176,032.53	258,929.69	2,434,962.22	-	1,822,235.54	-	230,611.87	612,726.68
Roadside Environmental								
Local Government Match Statewide	-	-	-	-	-	-	-	-
Roadside Environmental	-	-	-	-	-	-	-	-
Mobility Modern								
Local Government Match Statewide	372,200.00	-	372,200.00	-	-	-	-	372,200.00
Property Owners Participation	40,000.00	-	40,000.00	-	-	-	-	40,000.00
Mobility Modern	412,200.00	-	412,200.00	-	-	-	-	412,200.00
Total Participation Revenues	2,122,327,394.05	140,575,904.52	2,262,903,298.57	157,740,235.98	384,990,337.70	199,870,464.87	393,687,930.41	1,877,912,960.87

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B3 pg 1 of 2 - Other Financing Sources (Uses)

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Other Financing							
Interest Income - GARVEE Bond Proceed	32,933.94	84,905.27	1,081,697.07	2,348,398.41	-	-	84,905.27
GARVEE Ref Bond Proceeds 2019	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2019	-	-	-	-	-	-	-
GARVEE Ref Bond Proceeds 2017	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2017	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2015	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2012	-	-	-	-	-	-	-
GARVEE Ref Bond Proceeds 2011	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2011	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2008 & 2010	-	-	-	-	-	-	-
Payment to Ref Escrow Agent	-	-	-	-	-	-	-
GARVEE Def Loss Amortization	-	-	-	-	-	-	-
Energy Savings Proceeds	-	-	-	-	-	-	-
Total Other Financing	32,933.94	84,905.27	1,081,697.07	2,348,398.41	-	-	84,905.27
Miscellaneous Transfers In/Out							
Governmental Transfer in HF	-	-	-	-	-	-	-
Transfers In/Out	(343,936.00)	(2,272,811.32)	(1,003,781.12)	31,804,955.45	-	-	(2,272,811.32)
Transfer In from Disaster Fund	-	-	-	-	-	-	-
Total Miscellaneous Transfers In/Out	(343,936.00)	(2,272,811.32)	(1,003,781.12)	31,804,955.45	-	-	(2,272,811.32)
Total Other Financing Sources (Uses)	(311,002.06)	(2,187,906.05)	77,915.95	34,153,353.86	-	-	(2,187,906.05)

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit B3 pg 2 of 2 - Other Financing Sources (Uses)

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Refunds	Uncollectibles	Net Revenue
Other Financing								
Interest Income - GARVEE Bond Proceed	32,933.94	-	-	32,933.94	84,905.27	-	-	84,905.27
GARVEE Ref Bond Proceeds 2019	-	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2019	-	-	-	-	-	-	-	-
GARVEE Ref Bond Proceeds 2017	-	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2017	-	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2015	-	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2012	-	-	-	-	-	-	-	-
GARVEE Ref Bond Proceeds 2011	-	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2011	-	-	-	-	-	-	-	-
GARVEE Ref Bond Premium 2008 & 2010	-	-	-	-	-	-	-	-
Payment to Ref Escrow Agent	-	-	-	-	-	-	-	-
GARVEE Def Loss Amortization	-	-	-	-	-	-	-	-
Energy Savings Proceeds	-	-	-	-	-	-	-	-
Total Other Financing	32,933.94	-	-	32,933.94	84,905.27	-	-	84,905.27
Miscellaneous Transfers In/Out								
Governmental Transfer in HF	-	-	-	-	-	-	-	-
Transfers In/Out	(343,936.00)	-	-	(343,936.00)	(2,272,811.32)	-	-	(2,272,811.32)
Transfer In from Disaster Fund	-	-	-	-	-	-	-	-
Total Miscellaneous Transfers In/Out	(343,936.00)	-	-	(343,936.00)	(2,272,811.32)	-	-	(2,272,811.32)
Total Other Financing Sources (Uses)	(311,002.06)	-	-	(311,002.06)	(2,187,906.05)	-	-	(2,187,906.05)

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit C page 1 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Current Accounts								
Central Administration	-	108,970,646.00	-	7,608,121.19	(65,028,653.40)	108,970,646.00	173,999,299.40	65,028,653.40
Division of Highway Administration	-	1,882,582.00	332,059.74	110,312.92	332,059.74	1,550,522.26	1,550,522.26	-
Division of Motor Vehicle	-	150,682,929.00	25,233,109.86	9,237,641.20	25,233,109.86	125,449,819.14	125,449,819.14	-
Operations Administration	-	35,279,115.00	5,943,722.33	1,959,468.12	5,943,722.33	29,335,392.67	29,335,392.67	-
Field Operations	-	-	-	(1,547,762.25)	(36,700,329.88)	-	36,700,329.88	36,700,329.88
Reserves for Other Agencies	-	18,855,239.00	8,151,573.32	5,876,119.04	8,151,573.32	10,703,665.68	10,703,665.68	-
Department of Agriculture Gas Inspection Service	-	5,539,856.00	1,423,875.00	-	1,423,875.00	4,115,981.00	4,115,981.00	-
Department of Revenue - Gas Tax	-	5,204,446.00	721,434.72	357,516.15	721,434.72	4,483,011.28	4,483,011.28	-
Health and Human Services - Chemical Test	-	581,675.00	145,419.00	-	145,419.00	436,256.00	436,256.00	-
AirCargo Authority	-	862,833.00	187,500.00	-	187,500.00	675,333.00	675,333.00	-
State Fire Protection Grant Fund	-	158,000.00	-	-	-	158,000.00	158,000.00	-
OSBM - Civil Penalty	-	-	-	(0.01)	-	-	-	-
Department of Revenue - IRP Auditors	-	244,867.00	23,762.81	13,498.88	23,762.81	221,104.19	221,104.19	-
OSC-BEST Shared Services	-	543,362.00	139,373.77	-	139,373.77	403,988.23	403,988.23	-
Governor's Office	-	-	-	-	-	-	-	-
State Ethics Commission	-	56,816.00	10,208.02	5,104.02	10,208.02	46,607.98	46,607.98	-
DIT - DIT Transfer	-	-	-	-	-	-	-	-
State Ports Authority	-	5,500,000.00	5,500,000.00	5,500,000.00	5,500,000.00	-	-	-
OSBM-Oversight Mgr	-	163,384.00	-	-	-	163,384.00	163,384.00	-
DPS - Hurricane Florence	-	-	-	-	-	-	-	-
DPI - Charter School	-	-	-	-	-	-	-	-
Reserves	-	351,994,071.30	-	-	34,350,950.30	351,994,071.30	317,643,121.00	(34,350,950.30)
Internal Orders *	-	-	-	(2,781,808.17)	630,601.35	-	(630,601.35)	(630,601.35)
CO Internal Orders	-	-	-	(2,810,486.74)	(4,592,614.06)	-	4,592,614.06	4,592,614.06
DOT Plant Maintenance Orders	-	-	-	(245,444.77)	(230,695.42)	-	230,695.42	230,695.42
ECO Enhancement Program	-	-	-	32,573.52	5,515,135.48	-	(5,515,135.48)	(5,515,135.48)
ROW Air Space	-	-	-	153,939.47	(149,486.76)	-	149,486.76	149,486.76
PMII Networks	-	-	-	-	-	-	-	-
Facility Maintenance Orders	-	-	-	2,239.62	2,239.62	-	(2,239.62)	(2,239.62)
Transportation Mobility & Safety Division Orders	-	-	-	76,735.23	77,386.99	-	(77,386.99)	(77,386.99)
Quality Enhancement Orders	-	-	-	8,635.50	8,635.50	-	(8,635.50)	(8,635.50)
Debt Service - GARVEE	-	-	-	-	-	-	-	-
Performance Energy Debt	-	91,671.00	51,117.00	-	51,117.00	40,554.00	40,554.00	-
TOTAL CURRENT ACCOUNTS	-	667,756,253.30	39,711,582.25	20,462,092.05	(27,035,849.38)	628,044,671.05	694,792,102.68	66,747,431.63

* All previous years' activity for Internal Orders is reported on Exhibit I

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit C page 2 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Encumbrance Accounts								
Primary Construction	6,015,324.10	5,066,960.87	238,252.60	391,502.07	1,093,131.55	4,828,708.27	9,989,153.43	5,160,445.16
Secondary Construction	39,295,654.50	3,326,080.10	(702,573.73)	71,166.33	327,332.91	4,028,653.83	42,294,401.69	38,265,747.86
Urban Construction	(555.14)	-	-	-	-	-	(555.14)	(555.14)
Public Service Roads	538,126.55	(2,122.50)	(2,122.51)	60,036.00	60,036.00	0.01	475,968.06	475,968.05
Bridge Replacement	-	439,088.15	-	-	-	439,088.15	439,088.15	-
Spot Safety	32,841,819.29	12,100,000.00	(587,393.80)	915,877.47	1,690,105.11	12,687,393.80	43,251,714.18	30,564,320.38
Contingency	12,836,243.13	40,197,020.19	2,061,315.75	638,889.80	525,740.95	38,135,704.44	52,507,522.38	14,371,817.94
Primary Maintenance	71,216,568.26	66,087,159.04	43,509,630.77	12,371,438.06	37,360,317.43	22,577,528.27	99,943,409.87	77,365,881.60
Secondary Maintenance	(41,655,823.78)	28,304,944.24	23,253,461.63	17,493,889.95	49,653,296.02	5,051,482.61	(63,004,175.57)	(68,055,658.18)
Contract Resurfacing	169,444,626.97	595,749,924.20	156,206,577.80	22,894,829.70	87,390,432.19	439,543,346.40	677,804,118.98	238,260,772.58
Ferry Operations	9,619,667.04	49,094,270.50	27,541,254.94	3,451,583.97	11,200,385.79	21,553,015.56	47,513,551.75	25,960,536.19
Capital Improvements	31,995,994.51	4,115,850.09	833,000.00	910,260.30	2,367,215.55	3,282,850.09	33,744,629.05	30,461,778.96
FHWA Construction	2,761,904,221.93	1,261,491,594.33	127,667,734.35	119,457,384.44	388,718,840.68	1,133,823,859.98	3,634,676,975.58	2,500,853,115.60
Governor's Highway Safety	13,774,108.71	23,273,114.15	19,961,119.00	1,069,310.94	3,163,733.69	3,311,995.15	33,883,489.17	30,571,494.02
Railroad Program	67,879,168.96	57,813,811.72	8,337,050.15	2,160,545.76	9,784,701.24	49,476,761.57	115,908,279.43	66,431,517.86
Airports Program	159,837,937.90	169,726,204.71	87,688,823.86	33,051,894.93	69,361,640.20	82,037,380.85	260,202,502.41	178,165,121.56
Public Transportation	142,157,242.91	100,045,515.97	70,200,964.33	3,644,096.56	17,971,284.40	29,844,551.64	224,231,474.48	194,386,922.84
OSHA Program	391,846.24	398,408.90	159,671.88	6,261.95	11,530.65	238,737.02	778,724.49	539,987.47
Non-System Streets	3,207,128.29	461,425.16	461,425.16	153,677.61	487,262.64	-	3,181,290.81	3,181,290.81
Motor Carrier Safety	7,740,651.11	15,283,368.41	-	(4,224,218.14)	(4,178,804.99)	15,283,368.41	27,202,824.51	11,919,456.10
FEMA	(323,006,141.25)	30,011,445.41	11,445.41	2,940,975.77	9,719,319.27	30,000,000.00	(302,714,015.11)	(332,714,015.11)
State Aid to Municipalities	461,641.33	132,682,500.00	65,297,069.88	65,758,711.21	65,758,711.21	67,385,430.12	67,385,430.12	-
Division Small Urban Constructior	4,361,262.25	(52,969.51)	(177,818.69)	47,133.72	436,233.84	124,849.18	3,872,058.90	3,747,209.72
Economic Development	969,371.02	-	-	111,783.01	675,944.67	-	293,426.35	293,426.35
Bridge Program	118,547,694.76	398,082,661.87	57,765,352.71	8,704,917.08	30,524,016.72	340,317,309.16	486,106,339.91	145,789,030.75
Pavement Preservation	42,911,365.83	111,539,383.11	19,081,039.19	6,254,308.00	17,948,728.79	92,458,343.92	136,502,020.15	44,043,676.23
Bridge Preservation	(22,075,721.38)	108,585,122.00	42,979,697.38	4,931,433.42	15,229,610.95	65,605,424.62	71,279,789.67	5,674,365.05
Roadside Environmental	2,828,281.08	109,054,858.56	24,270,763.22	7,453,529.02	20,821,124.13	84,784,095.34	91,062,015.51	6,277,920.17
Mobility Modernization	79,460,128.45	1,278,953.94	(5,235,329.70)	2,676,548.18	3,905,550.79	6,514,283.64	76,833,531.60	70,319,247.96
Rail Equipment Overhaul	5,938,150.84	3,350,000.00	3,350,000.00	118,002.54	151,203.28	-	9,136,947.56	9,136,947.56
Conversion	(0.01)	-	-	-	-	-	(0.01)	(0.01)
Total Encumbrance Accounts	3,399,435,984.41	3,327,504,573.61	774,170,411.58	313,515,769.65	842,158,625.67	2,553,334,162.03	5,884,781,932.35	3,331,447,770.32
Total Highway Fund	3,399,435,984.41	3,995,260,826.91	813,881,993.83	333,977,861.70	815,122,776.29	3,181,378,833.08	6,579,574,035.03	3,398,195,201.95

Federal Aid HPR/PL/Construction Available for Obligation totals have been adjusted to the FHWA Obligation Authority in order to reflect fund availability for the federal fiscal year, beginning October 1 and ending September 30 each year.

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit C page 3 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Previous Year			Current Year			Compared to Previous		
	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures
Current Accounts									
Central Administration	102,052,420.00	6,345,653.50	(68,288,349.34)	108,970,646.00	7,608,121.19	(65,028,653.40)	6,918,226.00	1,262,467.69	3,259,695.94
Division of Highway Administration	2,304,995.00	140,343.77	389,812.82	1,882,582.00	110,312.92	332,059.74	(422,413.00)	(30,030.85)	(57,753.08)
Division of Motor Vehicle	130,889,925.00	12,597,328.66	29,753,056.11	150,682,929.00	9,237,641.20	25,233,109.86	19,793,004.00	(3,359,687.46)	(4,519,946.25)
Operations Administration	34,467,799.00	2,196,758.76	5,883,205.06	35,279,115.00	1,959,468.12	5,943,722.33	811,316.00	(237,290.64)	60,517.27
Field Operations	-	2,066,890.83	(47,000,327.11)	-	(1,547,762.25)	(36,700,329.88)	-	(3,614,653.08)	10,299,997.23
Reserves for Other Agencies	13,054,426.00	369,181.56	2,390,341.66	18,855,239.00	5,876,119.04	8,151,573.32	5,800,813.00	5,506,937.48	5,761,231.66
Department of Agriculture Gas Inspection Services	5,539,856.00	-	1,384,964.00	5,539,856.00	-	1,423,875.00	-	-	38,911.00
Department of Revenue - Gas Tax	5,204,446.00	349,625.61	636,213.14	5,204,446.00	357,516.15	721,434.72	-	7,890.54	85,221.58
Health and Human Services - Chemical Test	581,675.00	-	145,773.00	581,675.00	-	145,419.00	-	-	(354.00)
AirCargo Authority	750,000.00	-	187,500.00	862,833.00	-	187,500.00	112,833.00	-	-
State Fire Protection Grant Fund	158,000.00	-	-	158,000.00	-	-	-	-	-
OSBM - Civil Penalty	-	-	-	-	(0.01)	-	-	(0.01)	-
Department of Revenue - IRP Auditors	238,225.00	19,555.95	35,891.52	244,867.00	13,498.88	23,762.81	6,642.00	(6,057.07)	(12,128.71)
OSC-BEST Shared Services	525,408.00	-	-	543,362.00	-	139,373.77	17,954.00	-	139,373.77
Governor's Office	-	-	-	-	-	-	-	-	-
State Ethics Commission	56,816.00	-	-	56,816.00	5,104.02	10,208.02	-	5,104.02	10,208.02
DIT - DIT Transfer	-	-	-	-	-	-	-	-	-
State Ports Authority	-	-	-	5,500,000.00	5,500,000.00	5,500,000.00	5,500,000.00	5,500,000.00	5,500,000.00
OSBM-Oversight Mgr	-	-	-	163,384.00	-	-	163,384.00	-	-
DPS - Hurricane Florence	-	-	-	-	-	-	-	-	-
DPI - Charter School	-	-	-	-	-	-	-	-	-
Reserves	229,879,471.53	-	53,046,063.53	351,994,071.30	-	34,350,950.30	122,114,599.77	-	(18,695,113.23)
Internal Orders	-	3,575,101.06	4,753,664.88	-	(2,781,808.17)	630,601.35	-	(6,356,909.23)	(4,123,063.53)
CO Internal Orders	-	3,598,951.83	(6,513,128.40)	-	(2,810,486.74)	(4,592,614.06)	-	(6,409,438.57)	1,920,514.34
DOT Plant Maintenance Orders	-	(127,841.09)	(48,035.13)	-	(245,444.77)	(230,695.42)	-	(117,603.68)	(182,660.29)
ECO Enhancement Program	-	15,064.64	10,549,951.38	-	32,573.52	5,515,135.48	-	17,508.88	(5,034,815.90)
ROW Air Space	-	92,180.86	762,119.28	-	153,939.47	(149,486.76)	-	61,758.61	(911,606.04)
PMII Networks	-	-	-	-	-	-	-	-	-
Facility Maintenance Orders	-	(99.98)	-	-	2,239.62	2,239.62	-	2,339.60	2,239.62
Transportation Mobility & Safety Division Orders	-	(3,155.20)	1,261.97	-	76,735.23	77,386.99	-	79,890.43	76,125.02
Quality Enhancement Orders	-	-	1,495.78	-	8,635.50	8,635.50	-	8,635.50	7,139.72
Debt Services - GARVEE	-	-	-	-	-	-	-	-	-
Performance Energy Debt	91,883.00	-	51,328.00	91,671.00	-	51,117.00	(212.00)	-	(211.00)
Total Current Accounts	512,740,919.53	27,291,258.14	(19,021,204.39)	667,756,253.30	20,462,092.05	(27,035,849.38)	155,015,333.77	(6,829,166.09)	(8,014,644.99)

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit C page 4 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Previous Year			Current Year			Compared to Previous		
	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures
Encumbrance Accounts									
Primary Construction	4,391,143.18	1,475,440.93	2,505,522.36	5,066,960.87	391,502.07	1,093,131.55	675,817.69	(1,083,938.87)	(1,412,390.82)
Secondary Construction	11,973,563.37	127,711.98	1,236,752.79	3,326,080.10	71,166.33	327,332.91	(8,647,483.27)	(56,545.65)	(909,419.88)
Urban Construction	-	-	-	-	-	-	-	-	-
Public Service Roads	(19,269.29)	240.15	28,764.44	(2,122.50)	60,036.00	60,036.00	17,146.79	59,795.85	31,271.56
Bridge Replacement	439,088.15	-	-	439,088.15	-	-	-	-	-
Spot Safety	12,111,177.00	552,880.98	2,255,572.03	12,100,000.00	915,877.47	1,690,105.11	(11,177.00)	362,996.49	(565,466.92)
Contingency	17,459,653.29	1,934,470.05	3,682,046.96	40,197,020.19	638,889.80	525,740.95	22,737,366.90	(1,295,580.25)	(3,156,306.01)
Primary Maintenance	53,767,949.26	11,840,208.19	45,672,106.94	66,087,159.04	12,371,438.06	37,360,317.43	12,319,209.78	531,229.87	(8,311,789.51)
Secondary Maintenance	48,395,464.63	15,023,160.70	61,812,070.82	28,304,944.24	17,493,889.95	49,653,296.02	(20,090,520.39)	2,470,729.25	(12,158,774.80)
Contract Resurfacing	359,894,621.47	46,836,855.36	195,706,441.13	595,749,924.20	22,894,829.70	87,390,432.19	235,855,302.73	(23,942,025.66)	(108,316,008.94)
Ferry Operations	45,149,050.00	4,592,778.55	14,761,695.49	49,094,270.50	3,451,583.97	11,200,385.79	3,945,220.50	(1,141,194.58)	(3,561,309.70)
Capital Improvements	396,551.12	1,925,526.38	5,388,653.30	4,115,850.09	910,260.30	2,367,215.55	3,719,298.97	(1,015,266.08)	(3,021,437.74)
FHWA Construction	1,695,436,522.49	151,776,323.90	420,301,368.33	1,261,491,594.33	119,457,384.44	388,718,840.68	(433,944,928.16)	(32,318,939.46)	(31,582,527.65)
Governors' Highway Safety	24,411,205.17	966,054.30	4,017,071.19	23,273,114.15	1,069,310.94	3,163,733.69	(1,138,091.02)	103,256.64	(853,337.50)
Railroad Program	39,106,314.34	2,511,426.52	7,381,376.20	57,813,811.72	2,160,545.76	9,784,701.24	18,707,497.38	(350,880.75)	2,403,325.04
Airports Program	104,920,326.83	7,505,953.87	22,417,277.10	169,726,204.71	33,051,894.93	69,361,640.20	64,805,877.88	25,545,941.06	46,944,363.10
Public Transportation	132,661,427.91	3,129,425.45	13,786,560.57	100,045,515.97	3,644,096.56	17,971,284.40	(32,615,911.94)	514,671.11	4,184,723.83
OSHA Program	358,099.19	2,911.32	33,424.38	398,408.90	6,261.95	11,530.65	40,309.71	3,350.63	(21,893.72)
Non - System Streets	1,329,306.61	630,117.13	1,287,769.05	461,425.16	153,677.61	487,262.64	(867,881.45)	(476,439.52)	(800,506.41)
Motor Carrier Safety	17,909,988.43	4,862.07	259,774.32	15,283,368.41	(4,224,218.14)	(4,178,804.99)	(2,626,620.02)	(4,229,080.21)	(4,438,579.31)
FEMA	-	8,626,472.80	21,712,837.19	30,011,445.41	2,940,975.77	9,719,319.27	30,011,445.41	(5,685,497.03)	(11,993,517.92)
State Aid to Municipalities	147,500,000.00	73,757,807.81	73,757,807.81	132,682,500.00	65,758,711.21	65,758,711.21	(14,817,500.00)	(7,999,096.60)	(7,999,096.60)
Division Small Urban Construction	(70,297.26)	3,087.99	548,699.91	(52,969.51)	47,133.72	436,233.84	17,327.75	44,045.72	(112,466.08)
Economic Development	-	140,556.42	953,802.15	-	111,783.01	675,944.67	-	(28,773.41)	(277,857.48)
Bridge Program	320,117,318.04	22,023,947.78	69,390,878.09	398,082,661.87	8,704,917.08	30,524,016.72	77,965,343.83	(13,319,030.70)	(38,866,861.37)
Pavement Preservation	95,840,198.15	8,591,665.95	24,831,877.46	111,539,383.11	6,254,308.00	17,948,728.79	15,699,184.96	(2,337,357.95)	(6,883,148.67)
Bridge Preservation	85,241,150.00	5,015,201.70	21,494,221.63	108,585,122.00	4,931,433.42	15,229,610.95	23,343,972.00	(83,768.28)	(6,264,610.68)
Roadside Environmental	81,328,654.15	12,102,890.09	36,930,520.21	109,054,858.56	7,453,529.02	20,821,124.13	27,726,204.41	(4,649,361.07)	(16,109,396.08)
Mobility Modernization	41,443,078.00	2,518,279.81	6,989,872.57	1,278,953.94	2,676,548.18	3,905,550.79	(40,164,124.06)	158,268.37	(3,084,321.78)
Rail Equipment Overhaul	1,200,000.00	180,219.70	419,878.26	3,350,000.00	118,002.54	151,203.28	2,150,000.00	(62,217.16)	(268,674.98)
Total Encumbrance Accounts	3,342,692,284.23	383,796,477.88	1,059,564,642.68	3,327,504,573.61	313,515,769.65	842,158,625.67	(15,187,710.62)	(70,280,708.23)	(217,406,017.01)
Total Highway Fund	3,855,433,203.76	411,087,736.02	1,040,543,438.29	3,995,260,826.91	333,977,861.70	815,122,776.29	139,827,623.15	(77,109,874.32)	(225,420,662.00)

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit D - Statement of Cash Flow From Future Years

	2021-2022	2022-2023	2023-2024	TOTAL
	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	
System Type				
Aviation	-	-	-	-
Bridge Program	-	-	-	-
Contract Resurfacing	186,739,529.00	-	-	186,739,529.00
Pavement Preservation	15,000,000.00	-	-	15,000,000.00
Roadside Environmental	20,000,000.00	-	-	20,000,000.00
Primary Maintenance	-	-	-	-
Secondary Maintenance	-	-	-	-
Total	221,739,529.00	-	-	221,739,529.00

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit E - Statement of Revenues and Expenses for Equipment Fund

	Previous Year		Current Year		Current Compared to Previous	
	Current Period	Year to Date	Current Period	Year to Date	Current Period	Year to Date
Equipment Gain (Loss) Brought Forward from Prior Year	-	45,699,635.35	-	26,797,119.70	-	(18,902,515.65)
Funds Provided During Current Year						
Miscellaneous Receipts	122,075.27	396,979.34	305,307.08	564,733.41	183,231.81	167,754.07
Outside Agency Revenue	414,127.88	1,282,624.94	231,708.73	683,830.62	(182,419.15)	(598,794.32)
Equipment Rental Recovery	10,743,541.47	36,420,807.37	9,174,477.76	22,141,020.99	(1,569,063.71)	(14,279,786.38)
Total Funds Provided	11,279,744.62	38,100,411.65	9,711,493.57	23,389,585.02	(1,568,251.05)	(14,710,826.63)
Funds Applied During the Current Year						
Personnel	4,598,174.77	12,811,413.17	4,254,261.91	12,496,631.40	(343,912.86)	(314,781.77)
General Operating Expense	1,882,579.29	7,375,055.47	1,810,376.92	5,835,974.63	(72,202.37)	(1,539,080.84)
Internal Vehicle Repair Parts	9,509.88	5,340.19	8,218.54	(122,795.05)	(1,291.34)	(128,135.24)
Equipment Rent	1,192,208.66	3,884,501.05	1,475,783.52	1,666,294.33	283,574.86	(2,218,206.72)
General Supplies and Materials	(133,797.44)	201,432.51	98,711.79	177,945.49	232,509.23	(23,487.02)
Motor Fuel	382,757.90	1,206,721.01	230,419.99	651,854.64	(152,337.91)	(554,866.37)
Purchase of Heavy Equipment	5,399,346.89	18,727,980.60	300,925.42	395,980.20	(5,098,421.47)	(18,332,000.40)
Total Expenditures	13,330,779.95	44,212,444.00	8,178,698.09	21,101,885.64	(5,152,081.86)	(23,110,558.36)
Equipment Balance as of September 30, 2020	(2,051,035.33)	39,587,603.00	1,532,795.48	29,084,819.08	3,583,830.81	(10,502,783.92)

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit F - Statement of Federal Forward Funding

	Fiscal Year					TOTAL
	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	
Federal Forward Funding						
Interstate Maintenance	70,716,058.00	-	-	-	-	70,716,058.00
National Highway System	58,477,373.00	-	-	-	-	58,477,373.00
Surface Transportation	359,432,004.00	-	-	-	-	359,432,004.00
Bridge Replacement	1,818,428.00	-	-	-	-	1,818,428.00
CMAQ	9,413,873.00	-	-	-	-	9,413,873.00
Planning and Research	17,283,184.00	-	-	-	-	17,283,184.00
High Priority	-	-	-	-	-	-
Highway Safety Improvement	100,228,710.00	-	-	-	-	100,228,710.00
Transportation Alternatives	4,573,153.00	-	-	-	-	4,573,153.00
National Highway Freight	4,985.00	-	-	-	-	4,985.00
National Highway Performance	1,412,467,297.00	57,299,536.00	37,702,530.00	21,712,263.00	122,242,354.00	1,651,423,980.00
Total	2,034,415,065.00	57,299,536.00	37,702,530.00	21,712,263.00	122,242,354.00	2,273,371,748.00

Note: Federal cash flow is converted throughout the federal fiscal year which begins October 1 and ends September 30 of each year.

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit G1 - GARVEE Bond Projects by Category

	Interstate Maintenance	National Highway System	Surface Transportation Program	National Highway Performance	Total
Fiscal Year					
2020-2021	68,882,139.00	141,380,277.00	32,566,080.00	223,908,097.00	466,736,593.00
2021-2022	11,811,417.00	17,862,177.00	4,840,573.00	96,681,681.00	131,195,848.00
2022-2023	6,947,856.00	-	2,537,502.00	97,433,206.00	106,918,564.00
2023-2024	-	-	4,207,788.00	97,433,194.00	101,640,982.00
2024-2025	-	-	4,636,266.00	97,228,204.00	101,864,470.00
2025-2026	-	-	4,636,266.00	71,359,765.00	75,996,031.00
2026-2027	-	-	4,636,266.00	61,258,946.00	65,895,212.00
2027-2028	-	-	4,636,266.00	61,450,066.00	66,086,332.00
2028-2029	-	-	4,636,268.00	61,450,078.00	66,086,346.00
2029-2030	-	-	4,636,269.00	48,580,073.00	53,216,342.00
2030-2031	-	-	4,636,269.00	46,092,074.00	50,728,343.00
2031-2032	-	-	4,636,269.00	46,092,076.00	50,728,345.00
2032-2033	-	-	4,636,269.00	29,875,465.00	34,511,734.00
2033-2034	-	-	2,660,001.00	18,616,764.00	21,276,765.00
2034-2035	-	-	-	-	-
Total	87,641,412.00	159,242,454.00	88,538,352.00	1,057,459,689.00	1,392,881,907.00

Note: GARVEE Federal Forward Funding is Converted as the Debt Becomes Due Based on the Debt Service Schedule.

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit G2 pg 1 of 2 - Statement of Allotments, Expenditures, and Receipts for GARVEE Bond Projects

Division	WBS	Description	Allotments**	Expenditures		Unexpended Allotments	Trustee Reimbursement		FHWA Reimbursement	
				Current Period	Inception to Date ****		Current Period	Inception to Date	Current Period	Inception to Date
1	40197.3.GV1	I-95 in Northampton County, pavement rehabilitation	4,031,576.00	-	3,878,488.80	153,087.20	-	2,660,037.00	-	3,152,323.00
1	41470.3.GV1	Repair Bonner Bridge (#11) over Oregon Inlet on NC 12	21,142,774.00	-	18,517,457.02	2,625,316.98	-	11,726,343.00	-	12,270,762.00
1	47978.3.GV1	Construction US17 (Future I-87) Pasquotank Co.	7,750,458.00	745.84	2,054.50	7,748,403.50	448.00	1,346.00	-	11.00
2	34360.2.4	R/W US70 Havelock Bypass	6,156,666.00	1,057.80	1,057.80	6,155,608.20	-	2,353,807.00	-	-
2	34360.2.5	Utility US70 Havelock Bypass	3,533,334.00	-	-	3,533,334.00	-	1,669,143.00	-	-
2	34360.3.GV4	US 70 Havelock Bypass	178,589,057.00	1,297,364.11	37,835,001.40	140,754,055.60	893,540.00	21,328,775.00	-	4,195,247.00
2	34440.2.GV1	US 17 in Beaufort County	5,384,000.00	-	4,853,143.03	530,856.97	-	3,223,200.00	-	3,511,623.00
2	34414.3.GV2	US 17 New Bern Bypass in Craven County	55,905,821.00	-	53,633,571.54	2,272,249.46	-	31,118,939.00	-	32,750,623.00
2	47981.3.GV1	I-6032 - CON - US 264 (FUTURE I-587), Greene County	7,155,000.00	38,633.28	3,373,307.93	3,781,692.07	25,883.00	2,261,444.00	-	83,097.00
2	47984.3.GV1	I-6035 - US 264/US 258 (FUTURE I-587), Pitt County	21,950,000.00	1,544,683.97	13,152,590.73	8,797,409.27	1,001,746.00	8,474,322.00	-	552,667.00
3	41154.3.GV1	I-40 in Sampson County, pavement rehabilitation	12,068,426.00	-	10,315,817.74	1,752,608.26	-	7,066,882.00	-	7,617,494.00
3	34491.3.GV2	Wilmington Bypass	51,210,000.00	-	48,463,139.45	2,746,860.55	-	31,050,000.00	-	31,691,032.00
3	34491.3.GVS3	Wilmington Bypass	86,925,304.00	-	90,461,972.24	(3,536,668.24)	-	50,000,000.00	-	15,302,130.00
3	34491.3.GVS4	Wilmington Bypass	170,024,851.00	-	155,557,580.94	14,467,270.06	-	95,271,229.00	-	26,974,484.00
3	45883.3.GV1	I-40 Construction in Duplin County	43,289,602.00	1,825,691.04	8,630,819.25	34,658,782.75	1,369,009.00	6,283,687.00	-	252,027.00
4	34461.3.GV1	* US 70 Goldsboro Bypass in Wayne County	100,718,810.00	-	89,152,725.49	11,566,084.51	-	68,152,567.00	-	71,318,251.00
4	34509.2.GV1	Rocky Mount Northern Connector in Nash County	44,428,338.00	-	43,968,294.77	460,043.23	-	26,889,105.00	-	27,434,678.00
4	34509.3.GV1	Rocky Mount Northern Connector in Nash County	7,295,000.00	-	11,480,503.58	(4,185,503.58)	-	4,430,000.00	-	4,562,629.00
4	47993.3.GV1	I-6044 - CON - I-42/US 70, Johnston County	11,136,945.00	375,429.75	7,514,396.76	3,622,548.24	255,243.00	4,948,663.00	-	319,904.00
4	47996.3.GV1	I-6047 - CON - I-795/SR2075, Wayne County	7,885,838.00	61,661.25	3,613,627.26	4,272,210.74	40,043.00	2,309,137.00	-	60,994.00
5	50118.3.GV1	I-5700 CON- Wake Co. I-40 SR3015 Airport Blvd.	19,515,060.00	97,650.69	11,393,139.25	8,121,920.75	1,335,226.00	8,337,813.00	-	225,569.00
5	34506.3.GV12	US401 From NC96 to SR1103	53,471,000.00	780,258.46	20,314,246.45	33,156,753.55	584,433.00	16,114,529.00	-	6,494,501.00
5	36597.3.GV2	I-4744-Con-I-40	69,709,948.00	-	68,205,733.14	1,504,214.86	-	40,418,572.00	-	40,198,012.00
5	37490.3.GV1	I-85 in Vance County, pavement rehabilitation	47,090,340.00	-	37,599,894.52	9,490,445.48	-	26,686,187.00	-	27,951,623.00
5	39943.3.GV1	I-85 in Vance Co. & Warren Co. pavement rehabilitation	12,131,054.00	-	10,649,778.82	1,481,275.18	-	8,503,933.00	-	9,237,547.00
5	38722.3.GV1	I-40 in Wake County, pavement rehabilitation	14,303,553.00	-	12,122,922.00	2,180,631.00	-	8,480,654.00	-	9,147,542.00
5	34506.2.GV1	US 401 Rolesville Bypass	31,113,990.00	-	37,537,587.43	(6,423,597.43)	-	18,363,930.00	-	17,800,420.00
5	34506.3.GV2	US 401 Rolesville Bypass	35,852,833.00	-	31,991,185.00	3,861,648.00	-	9,703,570.00	-	10,221,573.00
5	42541.3.GV1	I-540 & I-40 in Wake County, pavement rehabilitation	8,734,936.00	-	8,448,687.59	286,248.41	-	6,241,359.00	-	6,090,422.00
5	50124.2.GV1	I-5708 - ROW - I-440/US 1, Wake County	1,756,232.00	10,725.36	1,234,732.50	521,499.50	8,231.00	196,632.00	-	179,489.00
6	34817.3.3GV6	I-95 to South of SR1118 (Parkton Rd.) in Robeson Co.	179,857,000.00	3,873,490.31	59,514,954.65	120,342,045.35	2,946,070.00	50,760,941.00	-	17,835,454.00
6	38724.3.GV1	I-95 in Robeson County, pavement rehabilitation	18,726,000.00	-	19,326,570.56	(600,570.56)	-	12,308,401.00	-	13,984,311.00
6	39994.3.GV1	I-95 from Cumberland to Johnston Co. line	4,840,236.00	-	4,344,551.19	495,684.81	-	2,861,939.00	-	3,200,283.00
6	39995.3.GV1	I-95 in Robeson County, pavement rehabilitation	12,895,293.00	-	11,275,397.98	1,619,895.02	-	8,443,764.00	-	8,859,763.00
6	39996.3.GV1	I-95 from N. of 295 to Harnett Co. line	4,761,958.00	-	4,116,461.31	645,496.69	-	2,766,042.00	-	3,040,393.00
6	40222.3.GV1	I-95 in Cumberland County, pavement rehabilitation	11,259,183.55	-	9,808,893.81	1,450,289.74	-	6,978,206.00	-	7,634,038.00
7	38726.3.GV1	I-40/85 in Alamance County, pavement rehabilitation	6,233,000.00	-	5,548,225.69	684,774.31	-	3,677,110.00	-	4,113,005.00
7	38727.3.GV1	I-40/85 in Guilford County, pavement rehabilitation	11,141,183.00	-	10,807,508.37	333,674.63	-	6,502,573.00	-	6,894,169.00
7	40248.3.GV1	I-40/85 Alamance & Orange Co. pavement rehabilitation	15,253,033.00	-	13,577,799.96	1,675,233.04	-	9,971,405.00	-	10,577,777.00
7	34901.3.GV2	SR1311 Cook Rd. SR1309 to NC100	17,485,853.00	-	21,568,841.73	(4,082,988.73)	-	10,543,946.00	-	11,201,119.00
7	34820.3.GVS5	Greensboro Western Loop	173,087,610.00	-	171,915,323.78	1,172,286.22	-	97,734,489.00	-	26,252,687.00
7	50401.3.GV1	I-40/85 in Alamance Co. at SR 1007 Mebane Oaks Rd	3,246,667.00	-	574.67	3,246,092.33	-	-	-	-
8	34480.3.GV1	US 311 (Future I-74) in Randolph County	132,854,997.00	-	116,700,194.95	16,154,802.05	-	79,960,000.45	-	80,846,068.00
8	34480.2.GV1	US 311 (Future I-74) in Randolph County	18,862,382.00	-	14,273,483.50	4,588,898.50	-	9,400,000.00	-	10,868,902.00
9	33185.3.GV1	I-40 / NC 801 Interchange in Davie County	15,644,794.00	-	14,587,358.94	1,057,435.06	-	10,094,181.00	-	10,985,684.00
9	34183.3.GV1	I-40 in Davie County, pavement rehabilitation	20,874,245.00	-	19,878,703.66	995,541.34	-	14,574,820.00	-	15,750,110.00
9	38730.3.GV1	I-85 in Rowan County, pavement rehabilitation	4,439,663.00	-	3,730,208.58	709,454.42	-	2,882,022.00	-	3,282,365.00
9	34156.2.1GV2	I-85 in Davidson County	12,215,363.00	-	11,383,533.31	831,829.69	-	5,775,654.00	-	6,146,458.00
9	34156.2.2GV2	I-85 in Davidson County	8,771,704.67	-	8,440,771.40	330,933.27	-	4,739,443.00	-	4,875,837.00
9	34156.3.GV2	I-85 in Rowan /Davidson Counties	175,742,298.00	-	192,316,722.89	(16,574,424.89)	-	111,660,275.00	-	114,736,673.00
9	34409.3.GV17	Winston Salem N. Beltway Interchange at US52	121,525,000.00	3,329,178.98	60,970,902.27	60,554,097.73	2,499,620.00	46,183,785.00	-	14,307,377.00
9	34839.3.GVS4	US 158 TO I-40 BUS/US 421/ Forsyth Co.	104,952,507.00	-	117,942,662.26	(12,990,155.26)	-	56,602,129.89	-	12,891,921.00
9	34839.2.GV18	Future I-74 From US 158 to US 311	11,974,921.00	-	5,844,781.62	6,130,139.38	-	6,500,000.00	-	4,063,282.00
9	34839.3.GV7	FUTURE I-74 From US 311 to SR2211	165,274,000.00	3,343,341.23	77,595,664.20	87,678,335.80	2,504,523.00	58,875,766.00	-	18,087,288.00
9	53061.3.GV1	I-85 in Rowan County	16,493,334.00	2,017,600.67	7,390,309.28	9,103,024.72	1,871,431.00	5,702,789.00	-	986,420.00

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit G2 pg 2 of 2 - Statement of Allotments, Expenditures, and Receipts for GARVEE Bond Projects

Division	WBS	Description	Allotments**	Expenditures		Unexpended Allotments	Trustee Reimbursement		FHWA Reimbursement	
				Current Period	Inception to Date ****		Current Period	Inception to Date	Current Period	Inception to Date
10	34187.3.GV2	I-85 in Mecklenburg Co. to Cabarrus	110,597,222.00	-	78,402,697.78	32,194,524.22	-	62,174,722.73	-	46,794,152.00
10	34379.3.GV2	Charlotte Eastern Outer Loop	68,171,888.50	-	40,314,306.42	27,857,582.08	-	38,745,528.00	-	29,161,086.00
10	34410.3.GV2	I-485 Charlotte Outer Loop	22,342,130.00	-	54,220,250.97	(31,878,120.97)	-	12,466,440.00	-	9,382,487.00
10	34749.2.GV2	US-74 ROW Independence Blvd.	69,405,165.00	-	117,507,214.94	(48,102,049.94)	-	38,542,169.64	-	38,323,644.00
10	34749.3.GV4	US-74 Const. Independence Blvd.	3,986,600.00	-	9,241,001.79	(5,254,401.79)	-	2,000,000.00	-	1,505,259.00
10	38732.3.GV1	I-77 in Mecklenburg County, pavement rehabilitation	3,654,756.00	-	3,303,845.54	350,910.46	-	2,302,835.00	-	2,680,981.00
10	41453.3.GV1	I-85 in Mecklenburg County, pavement rehabilitation	1,518,861.00	-	1,301,247.15	217,613.85	-	957,414.00	-	1,143,839.00
10	45887.3.GV1	I-485 Const. from milemarker 43-49 Mecklenburg Co.	11,307,766.00	1,172,277.73	1,604,163.51	9,703,602.49	879,086.00	1,200,874.00	-	2,406.00
10	50469.3.GV1	I-85 in Mecklenburg County, pavement rehabilitation	3,246,667.00	369,015.89	716,927.24	2,529,739.76	276,751.00	537,684.00	-	-
10	53019.3.GV1	I-77 from I-277 to .7 mile North of NC27, Mecklenburg C	4,927,975.00	653,469.90	2,249,577.98	2,678,397.02	490,053.00	1,686,589.00	-	563.00
11	41452.3.GV1	I-74 in Surry County, pavement rehabilitation	6,859,430.00	-	6,809,122.14	50,307.86	-	4,529,806.00	-	5,129,678.00
11	34173.2.GV1***	I-77 in Yadkin County, pavement rehabilitation	50,139,751.00	-	51,260,636.58	(1,120,885.58)	-	33,895,501.00	-	38,340,727.00
12	34192.2.GV4	I-40/I-77 Interchange, Iredell Co.	71,292,313.00	-	79,917,750.09	(8,625,437.09)	-	43,954,679.00	-	33,081,654.00
12	35993.2.GV6	US-70 in Hickory to US-321 ROW Catawba Co.	53,177,862.00	-	1,332,213.95	51,845,648.05	-	321,427.00	-	-
12	38735.3.GV1	I-77 in Iredell County, pavement rehabilitation	14,261,189.00	-	13,020,747.25	1,240,441.75	-	9,427,070.00	-	10,365,011.00
12	41455.3.GV1	I-40 in Iredell County, pavement rehabilitation	28,901,746.00	-	25,891,095.97	3,010,650.03	-	19,951,104.00	-	21,509,870.00
12	41471.3.GV1	I-85 in Gaston County, pavement rehabilitation	4,453,643.00	-	2,456,093.20	1,997,549.80	-	1,638,862.00	-	1,901,109.00
12	41865.3.GV1	I-77 in Iredell County, pavement rehabilitation	5,920,000.00	-	5,683,431.54	236,568.46	-	3,832,288.00	-	4,150,687.00
13	36030.3.GV4	I-26 from NC 280 to I-40 Buncombe County	122,931,788.00	5,964,544.51	54,226,117.88	68,705,670.12	4,626,876.00	40,939,744.00	-	2,175,875.00
13	39970.2.GV1	Buncombe County, I-4759 - R/W - I-40	1,648,064.00	-	325,088.33	1,322,975.67	-	162,326.00	-	118,369.00
13	39972.3.GV1	I-40 in McDowell County, pavement rehabilitation	15,067,671.00	-	12,727,584.24	2,340,086.76	-	8,577,951.00	-	9,258,661.00
13	42298.3.GV1	I-40 in Buncombe County, pavement rehabilitation	6,915,737.00	-	6,878,771.21	36,965.79	-	4,671,314.00	-	4,657,711.00
13	42344.3.GV1	I-40 in Buncombe County, pavement rehabilitation	9,102,844.00	-	8,542,940.42	559,903.58	-	5,531,328.00	-	5,777,401.00
13	48755.3.GV1	I-40 in Buncombe County, pavement rehabilitation	8,101,667.00	31,487.04	64,590.85	8,037,076.15	22,824.00	47,125.00	-	694.00
14	34232.3.5	I-26 in Henderson County, Con. From US25 to NC280	84,374,598.00	3,645,101.60	19,794,707.99	64,579,890.01	2,721,574.00	14,601,529.00	-	451,357.00
14	34232.3.GV8	I-26 in Henderson County, Construction	74,792,586.00	377,415.47	28,175,875.30	46,616,710.70	1,637,261.00	20,517,912.00	-	807,004.00
14	39997.3.GV1	I-40 in Haywood County, pavement rehabilitation	19,611,954.00	-	17,657,403.21	1,954,550.79	-	12,458,220.00	-	13,467,077.00
14	41454.3.GV1	I-40 in Haywood County, pavement rehabilitation	12,083,333.00	-	11,384,174.98	699,158.02	-	8,368,723.00	-	9,292,195.00
14	41456.3.GV1	I-26 in Henderson County, pavement rehabilitation	11,190,904.00	-	11,781,327.30	(590,423.30)	-	7,920,000.00	-	8,606,967.00
TOTAL			3,308,659,081.72	30,810,824.88	2,493,554,763.24	815,104,318.48	25,989,871.00	1,551,726,651.71	-	1,079,104,522.00

*WBS created / No Funding

**Allotment Includes Estimated Debt Service Expense

***Division 11 WBS 34173.2.GV1: \$4,078.00 Used Directly from Trustee for Bond Payment and not routed through DOT

****Inception to Date Expenditures shown only for those split & tagged to a funding source

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit G3 - Summary of GARVEE Bond Fund Availability and Allocations

Description	2015 Issue	2017 Issue *	2019 Issue	Total (All Issues)
GARVEE Bond Proceeds Available				
Bond Funds Available	264,930,000.00	224,640,000.00	600,000,000.00	1,089,570,000.00
Bond Premium Proceeds	35,611,227.35	28,508,388.95	119,044,306.50	183,163,922.80
Less: Total Underwriter's Discount	(776,359.86)	(613,003.00)	(1,516,133.07)	(2,905,495.93)
Less: Issuance Costs	(562,011.27)	(561,058.16)	(591,897.20)	(1,714,966.63)
Total Bond Proceeds Available	299,202,856.22	251,974,327.79	716,936,276.23	1,268,113,460.24
Adjustments to Available Funds:				
Interest from Prior Years	534,918.38	411,555.41	9,040,133.69	9,986,607.48
Interest from Current Year	76.00	42.90	84,786.37	84,905.27
Bond Management Fees	(121,964.92)	(1.28)	(207,222.99)	(329,189.19)
Earnings Used for Debt Service	-	-	-	-
Bonds Reimbursement	-	(243,565,000.00)	-	(243,565,000.00)
Deferred Loss on Bonds	-	(8,407,201.84)	-	(8,407,201.84)
Trustee Reimbursement (Requisitions)	(299,607,847.89)	-	(322,928,018.00)	(622,535,865.89)
Reimbursement to other Funds:	-	-	-	-
Bank Adjustments	(7,961.79)	(120,710.78)	(24,268.08)	(152,940.65)
Net Change in Investment Value	-	(292,969.30)	-	(292,969.30)
Total Adjustments to Available Funds	(299,202,780.22)	(251,974,284.89)	(314,034,589.01)	(865,211,654.12)
Total Funds Available	76.00	42.90	402,901,687.22	402,901,806.12

* Proceeds of the 2017 Bond Issuance refunded 2007, 2011 and partially refunded 2009 GARVEE Bonds.

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit H - Statement of Revenues and Expenses for Aviation Fund

	Previous Year		Current Year		Current Compared to Previous	
	Current Period	Year to Date	Current Period	Year to Date	Current Period	Year to Date
Equipment Gain Brought Forward from Prior Year	-	7,346,428.18	-	7,553,830.60	-	207,402.42
Funds Provided During Current Year						
Miscellaneous Receipts	-	-	-	-	-	-
Equipment Rental Recovery	40,845.00	133,830.00	19,665.00	71,745.00	(21,180.00)	(62,085.00)
Total Funds Provided	40,845.00	133,830.00	19,665.00	71,745.00	(21,180.00)	(62,085.00)
Funds Applied During the Current Year						
Personnel	9,385.24	9,385.24	-	-	(9,385.24)	(9,385.24)
General Operating Expense	26,478.32	22,694.53	500.00	4,363.38	(25,978.32)	(18,331.15)
Supplies and Materials	20,836.94	35,904.93	4,198.23	5,701.42	(16,638.71)	(30,203.51)
Purchase of Heavy Equipment	-	-	-	-	-	-
Total Expenditures	56,700.50	67,984.70	4,698.23	10,064.80	(52,002.27)	(57,919.90)
Equipment Balance as of September 30, 2020	(15,855.50)	7,412,273.48	14,966.77	7,615,510.80	30,822.27	203,237.32

North Carolina Department of Transportation
Highway Fund
Period Ending September 30, 2020

Exhibit I - Statement of Comparative Internal Order Balances

	CO Internal	DOT Plant Maintenance	Eco Enhancement Program	ROW Air Space	PMII Networks	Facility Maintenance	Transportation Mobility & Safety Division	Quality Enhancement	Totals
Fiscal Year									
2002	46,285.96	-	-	(25,939.75)	-	-	-	-	20,346.21
2003	62,433,358.92	365,183.23	-	148,562.42	-	-	-	-	62,947,104.57
2004	43,577,138.50	1,129,422.29	43,969,744.08	11,831.60	-	-	-	-	88,688,136.47
2005	(35,568,257.72)	1,253,200.50	45,048,970.34	(157,784.46)	-	-	-	-	10,576,128.66
2006	(839,458.95)	(162,424.65)	35,158,553.42	(296,406.46)	-	-	-	-	33,860,263.36
2007	(6,595,415.21)	(170,093.32)	59,734,174.51	(966,047.82)	-	-	-	-	52,002,618.16
2008	(16,863,793.66)	(373,786.25)	(123,925,720.95)	(2,217,139.58)	-	-	-	-	(143,380,440.44)
2009	(18,937,296.75)	(1,729,324.01)	33,617,961.18	(1,181,155.72)	-	-	-	-	11,770,184.70
2010	(36,228,248.89)	640,817.27	(47,105,788.80)	(359,428.14)	-	-	847.86	-	(83,051,800.70)
2011	31,096,976.79	823,469.02	(22,553,617.18)	(453,884.45)	-	2,039.38	4,045.50	3,168.67	8,922,197.73
2012	23,700,326.56	(454,172.17)	18,135,334.28	(624,241.97)	-	523.81	(3,945.15)	1,327.28	40,755,152.64
2013	(7,019,828.28)	249,726.53	21,205,936.13	(675,307.02)	-	(2,563.19)	(1,743.51)	(4,737.87)	13,751,482.79
2014	(28,662,217.20)	270,570.54	(10,393,287.18)	(441,031.13)	-	-	795.30	241.92	(39,224,927.75)
2015	(28,599,152.26)	(1,005,819.70)	14,980,623.16	(1,894,881.31)	-	1,057.13	6,158.75	-	(16,512,014.23)
2016	(4,407,818.81)	180,021.93	13,654,351.16	(2,940,953.96)	-	1,291.20	6,417.69	-	6,493,309.21
2017	65,502,519.96	(105,701.30)	(33,423,793.65)	(3,724,945.66)	-	65.02	5,378.47	1,093.92	28,254,616.76
2018	(19,752,985.62)	211,884.68	17,736,886.55	(3,224,196.16)	-	13,059.54	1,264.96	1,650.70	(5,012,435.35)
2019	14,619,704.58	190,945.83	(50,046,354.98)	(1,735,688.31)	-	(5,133.01)	7,343.28	4,159.83	(36,965,022.78)
2020	21,188,381.31	95,188.39	35,143,954.08	330,531.88	-	(9,443.55)	34,709.76	6,104.42	56,789,426.29
2021	(4,592,614.06)	(230,695.42)	5,515,135.48	(149,486.76)	-	2,239.62	77,386.99	8,635.50	630,601.35
Life to Date Expenditures	54,097,605.17	1,178,413.39	56,453,061.63	(20,577,592.76)	-	3,135.95	138,659.90	21,644.37	91,314,927.65



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2021
For Period Ending September 30, 2020
Highway Trust Fund**



North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit A - Balance Sheet

Assets:

Cash

Cash in Bank - Loans, Postage, Other Accounts	-	
Invested with Fiscal Agent - BuildNC 2019A Construction Fund	1.56	
Invested with Fiscal Agent - BuildNC 2019A Debt Reserve Fund	28,536,968.56	
Invested with Fiscal Agent - BuildNC 2019A Premium Earnings	8,281.81	
Invested with Fiscal Agent - BuildNC 2019A Interest Earnings	5,721,761.23	
Invested with Fiscal Agent - BuildNC 2019A Principle Earnings	6,113,749.61	
State Treasurer Bank Balance	293,495,579.43	

Total Cash 333,876,342.20

Current Assets

Accounts Receivable	87,012.16	
Due From Other Funds	180,995,953.64	
Other Receivables	19,131.64	
Other Current Assets	-	

Total Current Assets 181,102,097.44

Trust Fund Advance to Highway Fund	790,300,000.00	
Amount Avail and to be Provided for GLT Debt	334,470,396.80	

Total Non Current Assets 1,124,770,396.80

Total Assets

1,639,748,836.44

Liabilities, Retained Earning & Fund Balance:

Liabilities

Accounts Payable	213,052.15	
Intragovernmental Payable	13,978,055.34	
Due to Other Funds	-	
Other Liabilities	16,848,622.68	
Build NC Bonds Payable 2019	284,040,000.00	
Unamortized Premium on Bonds Payable	50,430,396.80	

Total Liabilities 365,510,126.97

Retained Earnings

Retained Earnings 1,135,408,637.47

Fund Balance

Opening Fund Balance	146,220,609.36	
Revenues	391,802,979.39	
Expenditures	(399,193,516.75)	
Change in Fund Balance	(7,390,537.36)	

Total Fund Balance 138,830,072.00

Total Liabilities, Retained Earnings and Fund Balance

1,639,748,836.44

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit B1 Pg 1 - Statement of Fees, Taxes and Other

	Current Year		Previous Year		Estimate		Current Year Compared to Estimate
	Month	Year to date	Month	Year to Date	Year Total	Year to Date	
Motor Vehicle Revenue							
Title Fee	11,219,338.40	34,288,957.50	9,978,547.99	32,363,369.91	-	-	34,288,957.50
Lien Recording	282,795.00	715,967.00	268,877.00	969,950.00	-	-	715,967.00
International Registration Plan (IRP)	-	-	-	-	-	-	-
Gasoline Tax	34,324,924.43	111,864,288.36	54,547,159.64	157,467,285.14	-	-	111,864,288.36
Gasoline Tax - Lust Fund	436,271.18	1,421,663.43	691,302.17	1,964,038.64	-	-	1,421,663.43
Gasoline Tax Lust Fund Transferred Out	(436,271.18)	(1,421,663.43)	(691,302.17)	(1,964,038.64)	-	-	(1,421,663.43)
Motor Fuel Tax GF Inspection Tax	14,369.10	39,266.37	37,361.35	111,734.58	-	-	39,266.37
Motor Fuel Tax GF Inspection Transferred Out	(14,369.10)	(39,266.37)	(37,361.35)	(111,734.58)	-	-	(39,266.37)
Highway Use Tax	80,704,899.35	239,900,569.57	69,555,972.25	220,690,434.71	-	-	239,900,569.57
Miscellaneous Registration Fees	1,587,246.57	4,537,889.53	1,373,547.61	4,386,988.27	-	-	4,537,889.53
Interest on Funds Invested by Treasurer	174,880.59	379,798.02	506,697.72	1,040,575.33	-	-	379,798.02
DMV - Visitor Center Reserve	-	-	-	-	-	-	-
Total Motor Vehicle Revenue	128,294,084.34	391,687,469.98	136,230,802.21	416,918,603.36	-	-	391,687,469.98

*Revenue breakout is not available at this time per Fiscal Research Division and Office of State Budget Management

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit B1 Pg 2 - Statement of Fees, Taxes and Others

	Current Month				Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Gross	Refunds	Uncollectibles	Net Revenue
Motor Vehicle Revenue								
Title Fee	11,221,247.30	1,458.10	450.80	11,219,338.40	34,293,438.70	3,821.80	659.40	34,288,957.50
Lien Recording	282,816.50	21.50	-	282,795.00	716,145.00	178.00	-	715,967.00
International Registration Plan (IRP)	-	-	-	-	-	-	-	-
Gasoline Tax	35,399,599.95	1,074,675.52	-	34,324,924.43	116,231,336.07	4,367,047.71	-	111,864,288.36
Gasoline Tax - Lust Fund	436,271.18	-	-	436,271.18	1,421,663.43	-	-	1,421,663.43
Gasoline Tax Lust Fund Transferred Out	(436,271.18)	-	-	(436,271.18)	(1,421,663.43)	-	-	(1,421,663.43)
Motor Fuel Tax GF Inspection Tax	14,369.10	-	-	14,369.10	39,266.37	-	-	39,266.37
Motor Fuel Tax GF Inspection Transferred Out	(14,369.10)	-	-	(14,369.10)	(39,266.37)	-	-	(39,266.37)
Highway Use Tax	80,743,160.38	33,616.97	4,644.06	80,704,899.35	240,059,580.91	154,027.32	4,984.02	239,900,569.57
Miscellaneous Registration Fees	1,587,589.21	253.64	89.00	1,587,246.57	4,539,297.21	1,216.68	191.00	4,537,889.53
Interest on Funds Invested by Treasurer	174,880.59	-	-	174,880.59	379,798.02	-	-	379,798.02
DMV - Visitor Center Reserve	-	-	-	-	-	-	-	-
Total Motor Vehicle Revenue	129,409,293.93	1,110,025.73	5,183.86	128,294,084.34	396,219,595.91	4,526,291.51	5,834.42	391,687,469.98

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit B1 Pg 3 - Statement of Fees, Taxes and Others

	Current Month						Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Transfers*	Account Balance	Gross	Refunds	Uncollectibles	Net Revenue
Motor Vehicle Revenue										
Highway Use Tax**	76,918,323.57	33,616.97	4,542.42	76,880,164.18	-	76,880,164.18	231,235,396.88	154,027.32	4,882.38	231,076,487.18
Title Fee	11,277,083.30	1,460.10	451.80	11,275,171.40	-	11,275,171.40	34,465,331.33	4,361.43	663.40	34,460,306.50
Lien Recording	282,816.50	21.50	-	282,795.00	-	282,795.00	716,145.00	178.00	-	715,967.00
Registration	1,587,589.21	253.64	89.00	1,587,246.57	-	1,587,246.57	4,539,297.21	1,216.68	191.00	4,537,889.53
Visitor Center Reserve	-	-	-	-	-	-	-	-	-	-
Total Motor Vehicle Revenue	90,065,812.58	35,352.21	5,083.22	90,025,377.15	-	90,025,377.15	270,956,170.42	159,783.43	5,736.78	270,790,650.21

* Transfers that are Due to or Due From other Agencies and Special Accounts

** Net Collection for Highway Use Tax Only Includes Revenue Collected by DMV.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit B1 Pg 4 - Statement of Fees, Taxes and Others

	Current Month				Year to Date			
	Current Year	Prior Year	Increase / Decrease	Percent	Current Year	Prior Year	Increase / Decrease	Percent
Motor Vehicle Revenue								
Highway Use Tax*	76,880,164.18	66,893,682.35	9,986,481.83	14.93%	231,076,487.18	213,476,978.71	17,599,508.47	8.24%
Title Fee	11,275,171.40	10,042,341.99	1,232,829.41	12.28%	34,460,306.50	32,573,066.91	1,887,239.59	5.79%
Lien Recording	282,795.00	268,877.00	13,918.00	5.18%	715,967.00	969,950.00	(253,983.00)	-26.19%
Registration	1,587,246.57	1,373,547.61	213,698.96	15.56%	4,537,889.53	4,386,988.27	150,901.26	3.44%
Visitor Center Reserve	-	-	-		-	-	-	
Total Motor Vehicle Revenue	90,025,377.15	78,578,448.95	11,446,928.20	14.57%	270,790,650.21	251,406,983.89	19,383,666.32	7.71%

* Net Collection for Highway Use Tax Only Includes Revenue Collected by DMV.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit B2 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Intrastate-HTF								
Local Government Participation	1,811,494.26	-	1,811,494.26	80,663.90	80,663.90	66,593.64	66,593.64	1,730,830.36
Property Owners Participation	-	-	-	-	-	-	-	-
Intrastate-HTF	1,811,494.26	-	1,811,494.26	80,663.90	80,663.90	66,593.64	66,593.64	1,730,830.36
Moving Ahead Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Moving Ahead Construction	-	-	-	-	-	-	-	-
Construction Statewide Urban								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	7,624,435.00	-	7,624,435.00	-	-	-	-	7,624,435.00
Construction Statewide Urban	7,624,435.00	-	7,624,435.00	-	-	-	-	7,624,435.00
Strategic Prioritization								
Local Government Participation	42,374,310.87	641,505.48	43,015,816.35	(1,094,665.56)	(338,744.18)	554,130.16	2,348,020.43	43,354,560.53
Property Owners Participation	8,913,135.30	67,735.59	8,980,870.89	-	-	-	-	8,980,870.89
Strategic Prioritization	51,287,446.17	709,241.07	51,996,687.24	(1,094,665.56)	(338,744.18)	554,130.16	2,348,020.43	52,335,431.42
Total Participation Revenues	60,723,375.43	709,241.07	61,432,616.50	(1,014,001.66)	(258,080.28)	620,723.80	2,414,614.07	61,690,696.78

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit B3 Pg 1 - Other Financing Sources (Uses)

	Current Year		Previous Year		Estimate		Current Year Compared to Estimate
	Month	Year to date	Month	Year to Date	Year Total	Year to Date	
Miscellaneous Transfers In/Out							
Transfers In/Out	(6,277.95)	(64,394.48)	(5,068,701.37)	(16,733,091.16)	-	-	(64,394.48)
Fiscal Agent Fees	-	-	-	-	-	-	-
Advanced Interest from Turnpike	81,017.10	81,017.10	47,712.71	94,299.94	-	-	81,017.10
Trustee Investment Management Fees	(825.59)	(22,901.87)	(976.94)	(976.94)	-	-	(22,901.87)
BuildNC Proceeds 2019	-	-	-	-	-	-	-
BuildNC Proceeds 2020	-	-	-	-	-	-	-
BuildNC Premium 2019	-	-	-	-	-	-	-
BuildNC Interest 2019	3,308.99	15,062.44	500,377.73	1,057,568.28	-	-	15,062.44
Bond Issue Costs	-	-	(586.18)	(536,090.11)	-	-	-
Total Other Financing Sources (Uses)	77,222.55	8,783.19	(4,522,174.05)	(16,118,289.99)	-	-	8,783.19

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit B3 Pg 2 - Other Financing Sources (Uses)

	Current Month				Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Gross	Refunds	Uncollectibles	Net Revenue
Miscellaneous Transfers In/Out								
Transfers In/Out	(6,277.95)	-	-	(6,277.95)	(64,394.48)	-	-	(64,394.48)
Fiscal Agent Fees	-	-	-	-	-	-	-	-
Advanced Interest from Turnpike	81,017.10	-	-	81,017.10	81,017.10	-	-	81,017.10
Trustee Investment Management Fees	(825.59)	-	-	(825.59)	(22,901.87)	-	-	(22,901.87)
BuildNC Proceeds 2019	-	-	-	-	-	-	-	-
BuildNC Proceeds 2020	-	-	-	-	-	-	-	-
BuildNC Premium 2019	-	-	-	-	-	-	-	-
BuildNC Interest 2019	3,308.99	-	-	3,308.99	15,062.44	-	-	15,062.44
Bond Issue Costs	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses)	77,222.55	-	-	77,222.55	8,783.19	-	-	8,783.19

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit C Pg 1 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Current Accounts								
Program Administration	-	36,515,686.00	7,602,409.64	2,780,306.50	7,602,409.64	28,913,276.36	28,913,276.36	-
Debt Service	-	56,824,500.00	-	-	-	56,824,500.00	56,824,500.00	-
Transfer to Turnpike Authority	-	64,000,000.00	12,250,000.00	-	12,250,000.00	51,750,000.00	51,750,000.00	-
Transfer to Highway Fund - Visitor's Center	-	400,000.00	-	-	-	400,000.00	400,000.00	-
Transfer to Ports Authority	-	45,000,000.00	11,250,000.00	11,250,000.00	11,250,000.00	33,750,000.00	33,750,000.00	-
Total Current Accounts	-	202,740,186.00	31,102,409.64	14,030,306.50	31,102,409.64	171,637,776.36	171,637,776.36	-
Encumbrance Accounts								
Strategic Transportation Initiative	3,589,096,888.44	405,316,040.33	144,068,133.65	109,722,838.87	351,976,050.01	261,247,906.68	3,642,436,878.76	3,381,188,972.08
Intrastate- HTF	(4,774,100.43)	-	(1,285,323.32)	585,508.24	10,004,303.90	1,285,323.32	(14,778,404.33)	(16,063,727.65)
Secondary Construction -HTF	16,113,159.84	2,106,422.66	(1,276,567.66)	90,384.01	296,390.49	3,382,990.32	17,923,192.01	14,540,201.69
Secondary Construction - 96 Bond Fund	-	-	-	-	-	-	-	-
Urban Loops - HTF	(35,304,438.73)	-	-	1,991,972.58	1,968,508.98	-	(37,272,947.71)	(37,272,947.71)
HTF Utilization-Traffic Signalization	-	-	-	-	-	-	-	-
HTF Utilization-PE-Non-TIP Projects	-	-	-	-	-	-	-	-
Moving Ahead Construction	(28,471.60)	-	-	-	(28,471.60)	-	-	-
Moving Ahead Public Transportation	-	-	-	-	-	-	-	-
Construction Primary HTF	329,036.66	(102,077.31)	(102,077.31)	-	(7,739.79)	-	234,699.15	234,699.15
Construction Statewide Secondary HTF	288,718.08	-	-	35,220.38	106,083.79	-	182,634.29	182,634.29
Construction Statewide Urban HTF	3,073,432.13	(2,200,000.26)	(2,200,000.26)	-	-	-	873,431.87	873,431.87
Bridge Replace HTF	-	4,329.29	-	-	-	4,329.29	4,329.29	-
Railroad Program HTF	2,071,429.09	(269,821.94)	(269,821.94)	-	-	-	1,801,607.15	1,801,607.15
FHWA State Match HTF	70,218,053.20	55,660,130.21	8,058,888.73	1,603,797.39	3,660,106.52	47,601,241.48	122,218,076.89	74,616,835.41
Capital Improvements HTF	14,560.65	-	-	5.05	13.33	-	14,547.32	14,547.32
NC Mobility Fund	3,851,188.23	7,690.50	(494,335.65)	284.58	(248,949.09)	502,026.15	4,107,827.82	3,605,801.67
Other	-	-	-	-	-	-	-	-
Total Encumbrance Accounts	3,644,949,455.55	460,522,713.48	146,498,896.24	114,030,011.10	367,726,296.52	314,023,817.24	3,737,745,872.51	3,423,722,055.27
Total Highway Trust Fund	3,644,949,455.55	663,262,899.48	177,601,305.88	128,060,317.60	398,828,706.16	485,661,593.60	3,909,383,648.87	3,423,722,055.27

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit C Pg 2 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Previous Year			Current Year			Compared to Previous		
	Yearly Appropriations	Month Expenditures	Year to Date Expenditures	Yearly Appropriations	Month Expenditures	Year to Date Expenditures	Appropriations	Current Month Expenditures	Year to Date Expenditures
Current Accounts									
Program Administration	35,626,560.00	2,645,627.18	7,534,968.23	36,515,686.00	2,780,306.50	7,602,409.64	889,126.00	134,679.32	67,441.41
Debt Service	59,774,015.00	204,300.00	204,300.00	56,824,500.00	-	-	(2,949,515.00)	(204,300.00)	(204,300.00)
Transfer to Turnpike Authority	64,000,000.00	-	6,000,000.00	64,000,000.00	-	12,250,000.00	-	-	6,250,000.00
Transfer to Highway Fund - Visitor's Center	400,000.00	-	-	400,000.00	-	-	-	-	-
Transfer to Ports Authority	45,000,000.00	-	-	45,000,000.00	11,250,000.00	11,250,000.00	-	11,250,000.00	11,250,000.00
Total Current Accounts	204,800,575.00	2,849,927.18	13,739,268.23	202,740,186.00	14,030,306.50	31,102,409.64	(2,060,389.00)	11,180,379.32	17,363,141.41
Encumbrance Accounts									
Strategic Transportation Initiative	1,747,046,163.35	136,775,596.18	469,305,780.94	405,316,040.33	109,722,838.87	351,976,050.01	(1,341,730,123.02)	(27,052,757.30)	(117,329,730.93)
Intrastate- HTF	(5,438,324.20)	4,290,527.48	(456,440.30)	-	585,508.24	10,004,303.90	5,438,324.20	(3,705,019.24)	10,460,744.20
Secondary Construction -HTF	2,889,066.91	23,854.26	67,336.36	2,106,422.66	90,384.01	296,390.49	(782,644.25)	66,529.75	229,054.12
Secondary Construction - 96 Bond Fund	-	-	-	-	-	-	-	-	-
Urban Loops - HTF	-	3,404,990.51	8,245,855.36	-	1,991,972.58	1,968,508.98	-	(1,413,017.93)	(6,277,346.38)
HTF Utilization - Traffic Signalization	-	-	-	-	-	-	-	-	-
HTF Utilization - PE on Non TIP Projects	-	-	-	-	-	-	-	-	-
Moving Ahead Construction	-	(2,639.35)	6,663.47	-	-	(28,471.60)	-	2,639.35	(35,135.07)
Moving Ahead Public Transportation	-	-	(75.71)	-	-	-	-	-	75.71
Construction Primary HTF	-	(28,788.29)	(113,259.18)	(102,077.31)	-	(7,739.79)	(102,077.31)	28,788.29	105,519.39
Construction Statewide Secondary HTF	(13,945.35)	29,519.49	101,413.38	-	35,220.38	106,083.79	13,945.35	5,700.89	4,670.41
Construction Statewide Urban HTF	-	(694.50)	(694.50)	(2,200,000.26)	-	-	(2,200,000.26)	694.50	694.50
Bridge Replacement HTF	4,329.29	-	-	4,329.29	-	-	-	-	-
Railroad Program HTF	-	800.00	800.00	(269,821.94)	-	-	(269,821.94)	(800.00)	(800.00)
FHWA State Match HTF	25,438,643.41	(295,579.05)	2,616,889.49	55,660,130.21	1,603,797.39	3,660,106.52	30,221,486.80	1,899,376.44	1,043,217.03
Capital Improvements HTF	-	3,210.15	8,751.69	-	5.05	13.33	-	(3,205.10)	(8,738.37)
NC Mobility Fund	0.70	97,280.28	298,571.10	7,690.50	284.58	(248,949.09)	7,689.80	(96,995.70)	(547,520.20)
Other	-	-	-	-	-	-	-	-	-
Total Encumbrance Accounts	1,769,925,934.11	144,298,077.15	480,081,592.10	460,522,713.48	114,030,011.10	367,726,296.52	(1,309,403,220.63)	(30,268,066.05)	(112,355,295.58)
Total Highway Trust Fund	1,974,726,509.11	147,148,004.33	493,820,860.33	663,262,899.48	128,060,317.60	398,828,706.16	(1,311,463,609.63)	(19,087,686.73)	(94,992,154.17)

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit D - Statement of Cash Flow from Future Years

	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	Total
System Type	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract
FHWA State Match - Statewide	-	917,780.00	-	-	-	917,780.00
FHWA State Match - Regional Impact	-	-	-	-	-	-
FHWA State Match - Division	-	-	-	-	-	-
Strategic Transport Investment Statewide	-	303,041,500.00	191,053,500.00	38,831,000.00	-	532,926,000.00
Strategic Transport Investment Regional	-	58,238,000.00	45,980,000.00	-	-	104,218,000.00
Strategic Transport Investment Division	-	54,487,250.00	16,589,000.00	-	-	71,076,250.00
State Construction	-	-	-	-	-	-
Intrastate	-	-	-	-	-	-
Urban Loops	-	-	-	-	-	-
Secondary	-	-	-	-	-	-
Mobility	-	-	-	-	-	-
Total	-	416,684,530.00	253,622,500.00	38,831,000.00	-	709,138,030.00

Note: These amounts will be deducted from the respective future fiscal year appropriation. These appropriations are included in either the current or a prior fiscal year and have been approved by The Board of Transportation.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit E - Statement of Transfers for Transportation Improvement Plan Projects

		Current		1990-2021
		Month	Year	Inception to Date
Unallocated at July 1, 2020			-	
Unallocated At August 31, 2020		-	-	
Transfers:	From Intrastate	-	-	4,394,335,830.04
	From Urban Loop	-	-	2,288,966,462.00
	From Secondary	-	-	8,633,383.69
Total Available		-	-	6,691,935,675.73
Less: Allocation By System				
	Primary	-	-	868,891,657.46
	Secondary	-	-	229,901,554.67
	Urban	-	-	900,094,447.33
	Bridge Replacement	-	-	107,961,585.30
	Rail	-	-	102,278,455.68
	State Funds Construction	-	-	12,400,000.00
	Match For Federal-Aid - HTF	-	-	3,790,350,737.54
	Match For Federal-Aid - Cash Flow	-	-	489,939,941.45
	1 DOT Funding	-	-	140,207,349.46
	Capital Improvements	-	-	35,745,964.00
Total Allocation		-	-	6,677,771,692.89
Less: Loan To Turnpike Authority		-	-	-
Participation In Turnpike Projects		-	-	3,678,836.00
Less: Transfers to STI		-	-	10,485,146.84
Unallocated Balance September 30, 2020		-	-	0.00

GS 136-176(c) authorizes the Secretary of Transportation to transfer from the STI Fund to the Highway Fund to finance projects on the Transportation Improvement Program.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit G1 - Build NC Bond Projects by Category

	Regional Impact	Division Needs	Total
Fiscal Year			
2019 - 2020	63,356,000.00	85,775,000.44	149,131,000.44
2020 - 2021	72,115,985.49	103,403,309.14	175,519,294.63
2021 - 2022	8,242,244.15	(1,956,364.82)	6,285,879.33
2022 - 2023	-	-	-
2023 - 2024	-	-	-
2024 - 2025	-	-	-
2025 - 2026	-	-	-
2026 - 2027	-	-	-
2027 - 2028	-	-	-
2028 - 2029	-	-	-
2029 - 2030	-	-	-
Total	143,714,229.64	187,221,944.76	330,936,174.40

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit G2 pg 1 of 2 - Statement of Allotments, Expenditures, and Receipts for Build NC Bond Projects

Division	TIP	WBS	Description	Allotments	Expenditures		Unexpended Allotments	Trustee Reimbursement	
					Current Period	Inception to Date ****		Current Period	Inception to Date
1	R-2582A	34472.1.4	US 158/NC 46 in Northhampton County; Multi-lane Project	3,984,085.45	-	4,026,626.03	(42,540.58)	-	3,984,085.45
1	R-2582A	34472.2.4	US 158/NC 46 in Northhampton County; Multi-lane Project	15,253,158.57	43,276.32	3,007,948.03	12,245,210.54	-	2,602,458.05
1	R-2582A	34472.3.2	US 158/NC 46 in Northhampton County; Multi-lane Project	62,400,000.00	1,080,688.86	17,391,311.45	45,008,688.55	-	11,917,318.84
1	R-4467	35748.3.2	US 17 Bus/NC 37; Construct New Roadway on Pilings & Replace Bridge	48,300,000.00	1,831,155.73	18,071,044.98	30,228,955.02	-	2,778,143.00
1	R-5738	50196.3.1	SR 1208 From SR 1210 to Ivy Lane; Upgrade Facility & Replace Bridge 40	3,561,232.00	-	4,687,028.14	(1,125,796.14)	-	3,561,232.00
1	R-5740	50198.1.1	SR 1329 (Woodville Rd) in Perquimans County; Upgrade	1,061,972.58	3,750.03	977,711.92	84,260.66	-	801,972.58
1	R-5740	50198.2.1	SR 1329 (Woodville Rd) in Perquimans County; Upgrade	1,284,273.00	3,082.46	308,047.20	976,225.80	-	303,757.19
1	R-5740	50198.2.2	SR 1329 (Woodville Rd) in Perquimans County; Upgrade; Utilities	875,000.00	-	297,700.84	577,299.16	-	295,687.96
1	R-5740	50198.3.1	SR 1329 (Woodville Rd) in Perquimans County; Upgrade	5,858,030.16	-	-	5,858,030.16	-	-
2	R-4463A	35601.1.R2	NC 43 Connector to US70 in Craven County	1,388,772.00	8,511.23	1,993,928.65	(605,156.65)	-	1,335,266.93
2	R-4463A	35601.2.1	NC 43 Connector to US70 in Craven County	1,275,000.00	264.68	294,893.78	980,106.22	-	191,706.08
2	R-4463A	35601.3.1	NC 43 Connector to US70 in Craven County	4,500,000.00	-	231.26	4,499,768.74	-	-
2	R-5777B	44648.1.3	US 70 in Craven County;	1,000,000.00	5,162.34	148,687.92	851,312.08	-	84,124.08
2	R-5777B	4468.2.3	US 70 in Craven County; Convert to Interchange	23,700,000.00	1,409.27	7,943.28	23,692,056.72	-	6,534.01
2	U-5917	44679.1.1	SR 1704 (Fourteenth St) in Pitt County; Widening Project	1,990,438.77	10,419.67	1,637,982.79	352,455.98	-	1,349,848.92
2	U-5917	44679.2.1	SR 1704 (Fourteenth St) in Pitt County; Widening Project	3,315,000.00	7,697.36	1,129,047.46	2,185,952.54	-	793,508.60
2	R-5703	46375.3.1	NC 148 (Harvey Parkway) From NC 58 to NC 11; Multi-lane Facility	86,499,999.83	2,218,045.11	49,860,801.33	36,639,198.50	-	35,950,590.00
2	B-5938	44679.2.1	Bridge 68 Over Bogue Sound on SR 1182; Bridge Rehabilitation	9,860,000.13	133.31	10,492,044.21	(632,044.08)	-	4,817,543.00
2	R-5812	46981.1.1	US 13 Bypass in Greene County; Widening Project	1,555,257.20	3,686.73	997,491.36	557,765.84	-	953,999.41
2	R-5812	46981.3.1	US 13 Bypass in Greene County; Widening Project	5,319,810.00	234,034.25	4,445,873.30	873,936.70	-	3,463,495.25
3	R-2303E	34416.1.S1	NC 24 in Sampson County	3,906,252.73	-	3,928,066.73	(21,814.00)	-	3,416,252.73
3	R-2303E	34416.2.8	NC 24 in Sampson County	8,128,954.92	268,709.17	3,664,508.60	4,464,446.32	-	3,411,206.30
3	R-2303E	34416.3.10	NC 24 in Sampson County	30,900,000.00	767,298.79	7,439,080.25	23,460,919.75	-	4,631,935.65
4	R-3825B	34552.3.5	NC 42 Widen to Multi-lanes From East of SR 1902 To SR 1003	53,128,606.00	1,692,875.18	36,244,451.12	16,884,154.88	-	16,239,286.10
4	U-2714	38979.1.2	US 117 Alternate in Wayne County; Widening Project	1,791,790.76	-	3,332,809.46	(1,541,018.70)	-	3,291,791.00
4	U-2714	38979.2.1	US 117 Alternate in Wayne County; Widening Project	10,382,841.88	81,915.08	3,007,251.35	7,375,590.53	-	2,733,041.73
4	U-2714	38979.3.1	US 117 Alternate in Wayne County; Widening Project	18,727,348.00	21,495.67	249,495.33	18,477,852.67	-	-
4	U-3609B	39026.1.2	US 13 (Berkeley Blvd) in Wayne County; Widening Project	2,000,000.00	31,123.84	2,062,448.53	(62,448.53)	-	1,862,684.91
4	U-3609B	39026.2.2	US 13 (Berkeley Blvd) in Wayne County; Widening Project	16,325,000.00	476.83	1,860.39	16,323,139.61	-	1,253.83
4	U-4762	39930.3.2	SR 1250 (Springfield Road); Widen to Multi-lanes	6,305,860.00	46,642.17	3,804,556.85	2,501,303.15	-	2,244,841.69
4	U-5026	44033.3.3	I-95 at SR 1770 in Nash County; Convert Grade Separation to Interchange	63,594,603.30	377,146.71	5,303,689.18	58,290,914.12	-	-
5	U-5827	44400.1.1	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway	968,258.35	-	968,258.35	-	-	945,565.85
5	U-5827	44400.2.1	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway	998,893.15	218.05	1,007,641.28	(8,748.13)	-	998,893.15
5	U-5827	44400.2.2	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway; Utilities	75,009.50	-	42,385.88	32,623.62	-	42,385.88
5	U-5827	44400.3.1	SR 1632 (Louis Stephens Dr) in Wake County; New Roadway	6,115,248.25	324,124.52	3,618,919.88	2,496,328.37	-	2,312,962.45
5	U-5828	44401.3.1	McCrimmon Parkway; Widen to Multi-lanes from SR 3015 to SR 1002	15,261,850.00	5,107.41	8,785,221.17	6,476,628.83	-	2,406,451.11
5	R-5707	46379.3.1	NC 56 at US 15 & NC 50 in Creedmoor; Realign Intersections	3,870,400.00	278,834.09	4,234,866.72	(364,466.72)	-	2,681,211.31
5	U-5302	48000.1.1	Raleigh US 401; Convert to Superstreet	1,403,681.55	16,517.26	1,718,609.58	(314,928.03)	-	1,403,681.55
5	U-5302	48000.2.1	Raleigh US 401 From South of SR 1006 & SR 2538 in Garner	4,307,067.34	5,405.49	2,098,052.92	2,209,014.42	-	3,207,376.37
5	U-5745	50166.1.1	NC 751 (Hope Valley Rd) in Durham County; Roundabout	457,162.23	-	457,162.23	-	-	457,011.48
5	U-5745	50166.2.1	NC 751 (Hope Valley Rd) in Durham County; Roundabout	1,256,360.59	1,361.19	341,942.11	914,418.48	-	338,329.80
5	U-5745	50166.3.1	NC 751 (Hope Valley Rd) in Durham County; Roundabout	2,375,707.50	752.96	1,628,515.30	747,192.20	-	1,020,435.41
5	U-5747	50167.1.1	SR 1635 McCrimmon Parkway in Morrisville	2,862,071.68	42,584.28	3,286,236.57	(424,164.89)	-	2,332,071.68
5	U-5747B	50167.2.3	SR 1635 McCrimmon Parkway in Morrisville	2,999,284.38	-	59,671.99	2,939,612.39	-	59,671.99
5	U-5748	50168.1.1	US 401 at Ligon Mill & Mitchell Mill Rds; Interchange	1,496,902.45	27,440.80	2,411,060.78	(914,158.33)	-	1,496,902.45
5	U-5748	50168.2.1	US 401 at Ligon Mill & Mitchell Mill Rds; Interchange	1,558,778.59	233.51	1,559,193.01	(414.42)	-	1,556,379.21
5	U-5750	50170.1.1	NC 54 From NC 540 to Perimeter Park Dr; Add Lanes	1,850,000.00	29,386.89	2,132,304.28	(282,304.28)	-	1,574,198.01
5	U-5750	50170.2.1	NC 54 From NC 540 to Perimeter Park Dr; Add Lanes	9,000,000.00	-	3,371.40	8,996,628.60	-	3,371.40
6	U-5742	50164.3.1	NC 56 at US 15 & NC 50 in Creedmoor; Realign Intersections	8,546,519.00	42,946.66	1,238,516.30	7,308,002.70	-	574,684.00
7	U-2412A	34802.3.3	SR 1486/SR 1421 (Jamestown Parkway); Widen to Multi-lanes	52,107,585.00	2,560,629.59	35,776,011.69	16,331,573.31	-	21,507,843.43
7	U-2581BA	34840.1.4	US 70 (Burlington Rd) in Guilford County	1,218,527.25	10.01	1,368,646.90	(150,119.65)	-	1,172,015.36
7	U-2581BA	34840.2.4	US 70 (Burlington Rd) in Guilford County	2,746,546.17	341,182.48	2,611,188.83	135,357.34	-	2,184,423.00
7	U-2581BA	34840.3.4	US 70 (Burlington Rd) in Guilford County	11,046,707.00	869,804.30	1,673,471.51	9,373,235.49	-	7,298.14
7	U-3109A	34900.3.5	NC 119 Relocation From I-401-85 to North of SR 1921	49,099,999.60	770,806.98	20,532,632.99	28,567,366.61	-	14,139,642.00
7	R-4707	36599.1.5	SR 2526 in Guilford County; Roadway Improvements	3,619,069.50	21,122.14	3,697,874.09	(78,804.59)	-	3,119,069.50
7	R-4707	36599.2.1	US 29 / SR 4771 (Reedy Fork); Roadway Improvements	13,923,000.00	10,117.22	3,545,416.47	10,377,583.53	-	4,854,063.16
7	U-5896	44674.1.2	US 29 / US 70 / Business 85 in High Point; Interchange	2,080,124.48	30,653.84	2,173,980.22	(93,855.74)	-	1,510,124.48
7	U-5896	44674.2.1	US 29 / US 70 / Business 85 in High Point; Interchange	16,458,875.00	24,540.17	4,460,997.47	11,997,877.53	-	7,352,628.66
7	U-5843	50233.3.1	US 70 at SR 1817 in Burlington; Intersection Improvements	2,771,621.00	397,133.83	2,259,302.80	512,318.20	-	653,591.64

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit G2 pg 2 of 2 - Statement of Allotments, Expenditures, and Receipts for Build NC Bond Projects

Division	TIP	WBS	Description	Allotments	Expenditures		Unexpended Allotments	Trustee Reimbursement	
					Current Period	Inception to Date ****		Current Period	Inception to Date
8	R-2501C	34437.3.7	US 1 Widen to multi-lanes With Bypass of Rockingham	17,800,000.17	753,515.34	11,394,226.14	6,405,774.03	-	7,006,933.00
8	R-3421A	34542.2.7	US 220 to I-73 / I-74 in Richmond County (Utilities)	4,772,103.13	-	4,269,577.20	502,525.93	-	4,269,577.20
8	R-3421A	34542.3.6	US 220 to I-73 / I-74 in Richmond County	61,323,000.00	2,208,536.55	13,497,656.05	47,825,343.95	-	6,530,540.17
8	R-3421B	34542.3.7	US 220 to I-73 / I-74 in Richmond County	103,300,000.00	245,179.24	5,180,955.41	98,119,044.59	-	-
8	R-2527	35572.1.1	NC 24-27 From NC 73 to Troy Bypass; Widening Project	6,826,866.01	92,518.98	7,280,718.18	(453,852.17)	-	6,061,866.01
8	R-2527	35572.2.1	NC 24-27 From NC 73 to Troy Bypass; Widening Project	9,437,000.00	1,199.87	485,446.03	8,951,553.97	-	664,897.39
8	R-3830	38887.2.2	Utilities NC 42 from US 421 in Sanford & Broadway	6,293,794.24	41,963.06	475,334.45	5,818,459.79	-	340,563.68
8	R-3830	38887.2.3	ROW NC 42 from US 421 in Sanford & Broadway	16,709,919.68	321,459.84	8,958,087.62	7,751,832.06	-	7,606,683.05
8	U-5308	47028.1.2	SR 1547 FROM SOUTH OF SR 3106 TO I-85; Widening Project	2,490,611.89	52,033.13	2,970,952.01	(480,340.12)	-	2,100,518.25
8	U-5308	47028.2.2	SR 1547 FROM SOUTH OF SR 3106 TO I-85; Widening Project	12,262,000.00	249.77	220,227.98	12,041,772.02	-	190,096.95
9	U-2729	34853.1.2	SR 1672 (Hanes Mill Rd) in Forsyth County; Widening Project	1,145,000.00	18,801.62	1,209,162.99	(64,162.99)	-	913,669.48
9	U-2729	34853.2.2	SR 1672 (Hanes Mill Rd) in Forsyth County; Widening Project	5,191,873.93	13,281.25	617,053.86	4,574,820.07	-	566,993.78
9	U-4734	36600.3.1	SR 2601 (Macy Grove Road) Extension in Kernersville	14,817,264.00	122,776.19	13,020,336.21	1,796,927.79	-	8,668,970.37
9	U-5820A	44392.3.1	New Route From SR 2576 to SR 1006; New Multi-lane Roadway	2,960,000.00	10,070.46	2,423,407.39	536,592.61	-	2,023,461.00
10	R-2530B	34446.1.6	NC 24-27 in Albemarle; Widening Project	5,910,345.87	35,484.70	6,039,617.46	(129,271.59)	-	5,910,345.87
10	R-2530B	34446.2.5	NC 24-27 in Albemarle; Widening Project	21,950,000.00	149,403.27	4,847,175.42	17,102,824.58	-	4,431,028.25
10	R-2530B	34446.2.6	NC 24-27 in Albemarle; Widening Project; Utilities	7,900,000.00	2,639.60	2,790,404.71	5,109,595.29	-	2,787,765.11
10	R-2530B	34446.3.4	NC 24-27 in Albemarle; Widening Project	70,164,391.19	1,410,323.94	16,340,490.19	53,823,901.00	-	11,666,037.69
10	U-4713A	39077.1.2	SR 3457 to SR 3448 in Mecklenburg County	888,487.76	46,462.55	1,141,750.28	(253,262.52)	-	888,487.76
10	U-4713A	39077.2.2	SR 3457 to SR 3448 in Mecklenburg County	2,599,999.00	23,968.87	308,641.74	2,291,357.26	-	280,116.90
10	U-6032	46965.1.1	SR 2464 From I-485 to SR 2894 in Cabarrus County; Widening Project	3,862,158.20	83,198.61	2,657,987.45	1,204,170.75	-	1,133,688.95
10	U-5804B	44376.3.2	SR 3448 (South Trade Street); Widen to Four Lanes	1,643,372.92	20,234.06	1,728,937.67	(85,564.75)	-	-
10	U-6032	46965.2.1	SR 2464 From I-485 to SR 2894 in Cabarrus County; Widening Project	2,900,000.00	181.43	19,956.42	2,880,043.58	-	-
11	R-5759	44691.1.1	NC 115 From US 421 to 2nd St in Wilkes County	1,056,341.84	146,561.44	1,950,494.68	(894,152.84)	-	1,056,341.84
11	R-5759	44691.2.1	NC 115 From US 421 to 2nd St in Wilkes County; Utilities	7,500,000.00	318.17	3,906.05	7,496,093.95	-	1,128.96
11	R-5745	50200.3.1	US 64/NC 90/NC 18 & US 64/NC 90; Intersection Improvements	3,654,294.00	1,133.25	2,375,127.57	1,279,166.43	-	1,312,973.30
12	R-2707C	34497.3.6	US 74 Shelby Bypass From East of NC 226 to West of NC 150	64,133,683.00	402,638.02	20,442,788.20	43,690,894.80	-	11,335,421.00
12	R-5100A	41890.1.2	SR 1109 Widening Project in Iredell County	500,000.00	41,916.02	1,451,857.79	(951,857.79)	-	466,370.04
12	R-5100A	41890.2.3	SR 1109 Widening Project in Iredell County	8,000,000.00	9,530.27	241,837.23	7,758,162.77	-	142,460.83
12	R-5100A	41890.2.4	SR 1109 Widening Project in Iredell County	3,000,000.00	3,121.48	395,222.10	2,604,777.90	-	347,148.24
12	U-5799	44371.1.1	US 21 Widening Project in Iredell County	1,756,673.37	25,261.52	2,222,461.09	(465,787.72)	-	1,756,673.37
12	U-5799	44371.2.1	US 21 Widening Project in Iredell County	3,200,000.00	7,518.64	290,506.24	2,909,493.76	-	42,702.54
12	U-6038	46974.3.1	US 74 (Wilkinson Blvd) From NC 7 to SR 2209	675,000.00	214,947.70	797,898.18	(122,898.18)	-	456,129.00
13	U-5818	44390.1.1	SR 1001 (Sugar Hill Rd) Widening Project in McDowell	1,310,742.92	3,818.59	1,325,497.32	(14,754.40)	-	1,205,742.92
13	U-5818	44390.2.1	SR 1001 (Sugar Hill Rd) Widening Project in McDowell	330,000.24	-	64,779.87	265,220.37	-	64,472.52
13	U-5818	44390.3.1	SR 1001 (Sugar Hill Rd) Widening Project in McDowell	8,100,000.00	148,576.61	4,971,742.44	3,128,257.56	-	4,279,419.99
13	U-5833	50225.1.1	SR 2241 (Oak St) Widening Project in Rutherford County	1,392,668.04	-	802,123.86	590,544.18	-	749,752.47
13	U-5833	50225.2.1	SR 2241 (Oak St) Widening Project in Rutherford County	1,025,000.10	134.96	340,674.22	684,325.88	-	338,782.28
13	U-5833	50225.3.1	SR 2241 (Oak St) Widening Project in Rutherford County	5,059,811.00	110,865.69	2,530,165.71	2,529,645.29	-	2,175,236.18
14	R-5605	43587.3.1	Davidson River Village Connector From US 64 to US 276/US 64	12,568,400.00	380,237.89	4,125,361.14	8,443,038.86	-	2,591,575.00
14	U-5604	45832.3.1	US 441 Bus Intersection Improvements	5,700,000.00	880,552.74	6,496,351.22	(796,351.22)	-	2,472,513.00
14	R-5742	46325.1.D1	NC 175 From GA State Line to US 64; Upgrade Roadway	1,933,542.98	-	1,933,542.98	-	-	1,924,704.06
14	R-5742	46325.2.1	NC 175 From GA State Line to US 64; Upgrade Roadway	3,460,000.00	19,566.73	461,032.75	2,998,967.25	-	419,978.78
14	R-5742	46325.3.1	NC 175 From GA State Line to US 64; Upgrade Roadway	21,300,000.00	596,895.73	9,520,229.21	11,779,770.79	-	8,007,811.59
14	R-5734B	50192.1.2	US 23 / US 441 in Macon County	975,000.00	8,441.17	1,382,542.16	(407,542.16)	-	-
14	R-5734B	50192.2.2	US 23 / US 441 in Macon County	9,450,000.00	-	-	9,450,000.00	-	-
14	R-5735	50193.1.1	US 19 / US 74 / US 64 / US 129 in Cherokee County	2,088,507.24	-	2,088,507.24	-	-	2,069,200.17
14	R-5735	50193.2.1	US 19 / US 74 / US 64 / US 129 in Cherokee County	3,899,900.00	3,839.84	3,805,679.43	94,220.57	-	3,739,659.84
14	R-5735	50193.3.1	US 19 / US 74 / US 64 / US 129 in Cherokee County	11,124,000.00	397,084.49	5,400,538.63	5,723,461.37	-	4,504,281.57
14	U-5839	50230.1.1	US 276 (Russ Ave) in Haywood County; Upgrade Corridor	2,743,400.82	219,950.09	3,246,176.14	(502,775.32)	-	2,584,926.61
14	U-5839	50230.2.1	US 276 (Russ Ave) in Haywood County; Upgrade Corridor	6,200,000.00	267.92	2,078,729.10	4,121,270.90	-	2,160,015.23
14	U-5839	50230.2.2	US 276 (Russ Ave) in Haywood County; Upgrade Corridor	750,000.00	10.01	289.68	749,710.32	-	151.32
14	U-5840	50231.1.D1	SR 1547 (Old Airport Rd) in Henderson County; Widening	1,220,734.78	-	1,220,734.78	-	-	1,220,734.78
14	U-5840	50231.2.1	SR 1547 (Old Airport Rd) in Henderson County; Widening	2,297,000.00	118,896.08	798,825.11	1,498,174.89	-	667,195.61
14	U-5840	50231.3.1	SR 1547 (Old Airport Rd) in Henderson County; Widening	7,604,508.10	665,273.79	8,283,261.76	(678,753.66)	-	5,864,733.57
TOTAL				1,305,805,933.26	24,600,755.63	501,433,001.18	804,372,932.08	0.00	330,936,325.23

*Build NC bond funds from the 2019A issuance were fully reimbursed in July 2020. As a result, WBS expenditure totals will not be updated until the next bond sale.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending September 30, 2020

Exhibit G3 - Summary of Build NC Bond Fund Availability and Allocations

Description	2019 Issue	Total
Build NC Bond Proceeds Available		
Bond Funds Available	300,000,000.00	300,000,000.00
Bond Premium Proceeds	57,343,615.80	57,343,615.80
Less: Debt Service Reserve Fund	(28,179,050.00)	(28,179,050.00)
Less: Total Underwriter's Discount	(784,074.43)	(784,074.43)
Less: Bond Issuance Cost	(536,090.11)	(536,090.11)
Total Bond Proceeds Available	327,844,401.26	327,844,401.26
Adjustments to Available Funds:		
Interest from Prior Years	3,647,116.96	3,647,116.96
Interest from Current Year	15,062.44	15,062.44
Less: Interest to Debt Service Reserve Fund	(466,178.10)	(466,178.10)
Bond Management Fees	(103,051.05)	(103,051.05)
Trustee Reimbursement (Requisitions)	(330,936,325.23)	(330,936,325.23)
Bank Adjustments	-	-
Total Adjustments to Available Funds	(327,843,374.98)	(327,843,374.98)
Total Funds Available	1,026.28	1,026.28



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2021
Period Ending September 30, 2020
State Infrastructure Bank**



North Carolina Department of Transportation
State Infrastructure Bank
Period Ending September 30, 2020

The State Infrastructure Bank (SIB) is an investment fund that offers loans and other types of financial assistance to transportation facilities and projects that will contribute to meeting the State's transportation goals. These facilities may include highway, rail, transit, intermodal, and other types. Borrowers include any public entity. Private companies and non-profit organizations are eligible but with some restrictions.

The SIB was established in July 1997 by G.S. 136-18 and received an initial infusion of equity capital in April 1998 from which the loans will be made. Repayments of principal and interest as well as other financial assistance will be used to replenish the fund. This secondary infusion of funds into the SIB creates a revolving loan fund and permits the SIB to become a self-sustaining financial institution.

The SIB program was created through the National Highway System legislation in 1995 and was authorized under Section 350 of the National Highway System Designation Act of 1995, Public Law 104-59, 23 U.S.C.G.S. 101 note. Each state DOT may capitalize their bank with up to 10% annually of their federal gas tax funds.

North Carolina Department of Transportation
State Infrastructure Bank
Period Ending September 30, 2020

Exhibit A - Balance Sheet

Assets:

Cash

Budget Code 64202	2,415,110.49	
Budget Code 64203	552,877.31	
Budget Code 64206	262,603.12	
Total Cash		3,230,590.92

Notes Receivable (Loan Proceeds)

Currently no outstanding loans	-	-
Total Notes Receivable		-

Total Assets		<u><u>3,230,590.92</u></u>
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Liabilities:

Fund Balance

Reserves / Unobligated Funds	3,230,590.92	
Reserves / Obligated Funds		
Total Fund Balance		3,230,590.92

Total Liabilities		-
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Total Liabilities and Fund Balance		<u><u>3,230,590.92</u></u>
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North Carolina Department of Transportation
State Infrastructure Bank
Period Ending September 30, 2020

Exhibit B - Statement of Revenues

	Receipts Brought Forward	Interest Earned	Available Cash
Revenue:			
Federal Receipts Brought Forward	1,260,000.00		
Revenue Increases:			
State Receipts Brought Forward	1,305,000.00		
Interest Earned Budget Code 64202:			
Obligated Fund		-	
Unobligated Fund		2,408,812.66	
Interest Earned Fiscal Year 2020 - 2021		6,297.83	
Sub Total - 64202			2,415,110.49
Interest Earned Budget Code 64203:			
Obligated Fund		-	
Unobligated Fund		551,435.59	
Interest Earned Fiscal Year 2020 - 2021		1,441.72	
Sub Total - 64203			552,877.31
Interest Earned Budget Code 64206:			
Obligated Fund			
Unobligated Fund		261,918.34	
Interest Earned Fiscal Year 2020 - 2021		684.78	
Sub Total - 64206			262,603.12
Total Availability			<u>3,230,590.92</u>
Less Loan Proceeds Disbursed to the Following:			
Currently no outstanding loans			
Cash Balance			<u><u>3,230,590.92</u></u>

Exhibit C - Loan Statement

Municipality	Principal	Interest	Loan Repayment	Loan Balance
Current Loans				
None	-	-	-	-
Total Loans Outstanding	-	-	-	-



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

Fiscal Year 2021
Period Ending September 30, 2020
Special Revenue Accounts



North Carolina Department of Transportation
Special Registration Plate Account
Period Ending September 30, 2020

Two Special Revenue Accounts are maintained by the State Treasurer under the auspices of The Office of the State Controller for the North Carolina Department of Transportation. Other than the collection and transfer of these revenues, these accounts are not reflected in any North Carolina Department of Transportation's records.

Beginning with Fiscal Year 1996-97, balance sheets and disbursement ledgers will be presented in the monthly financial statements along with a narrative for each of these accounts.

These accounts are:

- Special Registration Plate Account (Exhibit A)
- Collegiate and Cultural Attraction Plate Account (Exhibit B)

North Carolina Department of Transportation
Special Registration Plate Account
Period Ending September 30, 2020

G.S. 20-79.7 Budget Code 24261

Exhibit A

This account receives funds from the additional fees collected for special registration plates according to the following schedule:

<u>Special Plate</u>	<u>Additional Fee</u>	<u>SRPA</u>	<u>CCAPA*</u>	<u>NHTF**</u>	<u>PRTF***</u>
Historical Attraction	\$30	\$10	\$20	\$0	
State Attraction	\$30	\$10	\$20	\$0	
In-State Collegiate Insignia	\$25	\$10	\$15	\$0	
Out-of-State Collegiate Insignia	\$25	\$10	\$0	\$15	
Personalized	\$30	\$10	\$0	\$15	\$5
Olympic Games	\$25	\$10	\$15	\$0	
Wildlife Resources	\$20	\$10	\$10	\$0	
All other Special Plates	\$0-\$30	\$10	\$0-\$20	\$0-\$10	

*Collegiate and Cultural Attraction Plate Account G.S. 20-81.12

**Natural Heritage Trust Fund G.S. 113-77.7

***Parks and Recreation Trust Fund G.S. 113-44.15

Collections are credited monthly to this special account which is held by the Office of the State Controller.

Disbursements are made according to the following schedule:

1. DMV shall deduct the costs of special registration plates, including the costs of issuing, handling, and advertising the availability of the special plates.
2. \$1,300,000 is appropriated to provide operating assistance for the Visitor and Welcome Centers as follows:

US Hwy 17 in Camden County	\$92,857
US Hwy 17 in Brunswick County	\$92,857
US Hwy 441 in Macon County	\$92,857
Town of Boone, Watauga County	\$92,857
US Hwy 29 in Caswell County	\$92,857
US Hwy 70 in Carteret County	\$92,857
US Hwy 64 in Tyrrell County	\$92,857
US Hwy 221 in McDowell County	\$92,857
US Hwy 701 & NC 904 in Columbus County	\$92,857
Staton Road in Transylvania County	\$92,857
Town of Fair Bluff - US 76 & NC 904 in Columbus County	\$92,857
US 421 in Wilkes County	\$92,857
Interstate 73 and Interstate 74 in Randolph County each for 2 centers	\$92,858
3. Remaining revenue is transferred quarterly according to the following schedule:
 - a. 50% to the Department of Transportation for the purpose of beautification of highways.
 - b. 50% to the Department of Transportation Highway Fund to be used for the Roadside Vegetation Management Program.

North Carolina Department of Transportation
Special Registration Plate Account
Period Ending September 30, 2020

Exhibit A - Balance Sheet

Assets:

Cash	1,753,251.85	
Total Assets		1,753,251.85

Liabilities:

Payables:		
Prior Year	0.18	
Current Year	1,753,251.67	
Total Payables		1,753,251.85
Expenditures		-
Total Liabilities		1,753,251.85

North Carolina Department of Transportation
Special Registration Plate Account
Period Ending September 30, 2020

Exhibit A2 - Disbursements

Payee	For	Amount
NC Department of Transportation	Reimbursement to DOT for Expenses	-
NC Department of Transportation	Reimbursement for Payments to Visitor Center	-
NC Department of Transportation	NC Department of Transportation Highway Beautification	-
NC Department of Transportation	NC Department of Transportation Roadside Vegetation Management Program	-
Disbursements for Fiscal Year 2020-2021		<u>-</u>

**North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate Account
Period Ending September 30, 2020**

G.S. 20-81.12 Budget Code 24265

Exhibit B

This account receives funds from the additional fees collected for collegiate and cultural attraction plates according to the following schedule:

<u>Special Plate</u>	<u>Additional Fee</u>	<u>SRPA*</u>	<u>CCAPA</u>
Collegiate Insignia	\$25	\$10	\$15
Historical Attraction	\$30	\$10	\$20
State Attraction	\$30	\$10	\$20
Wildlife Resources	\$20	\$10	\$10
Olympic Games	\$25	\$10	\$15
Others	\$15-\$30	\$10	\$5-\$20

Collections are credited monthly to this special account which is held by the Office of the State Controller.

Disbursements are made according to the following schedule:

1. **In-State Collegiate Insignia Plate** - Quarterly, the funds collected from the sale of in-State collegiate insignia plates are transferred to the colleges and universities in proportion to the number of plates sold representing that institution for use for
2. **Historical Attraction Plate** - Quarterly, the funds collected from the sale of Historical Attraction Plates are transferred in proportion to the number of plates sold representing that organization according to the following schedule:
 - a. Historical Attraction within Historic District - Funds transfer to the appropriate Historic Preservation Commission and used to maintain property in the historic district in which the attraction is located.
 - b. Nonprofit Historical Attraction - Funds transfer to the nonprofit corporation that is responsible and used to develop and
 - c. State Historic Site - Funds transfer to the Department of Cultural Resources and used to develop and operate the site for which the plate was issued.
3. **State Attraction Plate** - Quarterly, the funds collected from the sale of State attraction plates are transferred to organizations in proportion to the number of plates sold representing that organization.
4. **Wildlife Resources Plate** - Quarterly, the funds collected from the sale of Wildlife Resources Plates to the Wildlife Conservation Account.
5. **Olympic Games Plate** - Quarterly, the funds collected from the sale of Olympic Games Plates to the NC Amateur Sports, which will allocate the funds as follows:
 - a. 67% to the US Olympic Committee to assist in training olympic athletes.
 - b. 33% to North Carolina Amateur Sports to assist with administration of the State Games of NC
6. **Others** - Quarterly, the funds collected from the sale of other plates are transferred in proportion to the number of plates sold representing that organization.

North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate
Period Ending September 30, 2020

Exhibit B - Balance Sheet

Assets:

Cash	700,362.09	
Total Assets		<u>700,362.09</u>

Liabilities:

Payables:

Prior Year	501,660.01	
Current Year	<u>1,003,945.00</u>	
Total Payables		1,505,605.01

Expenditures		<u>(805,242.92)</u>
Total Liabilities		<u>700,362.09</u>

North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate
Period Ending September 30, 2020

G.S. 20-81.12 Budget Code 24265

Exhibit B2 pg 1 of 3 - Disbursements

Payee	For the Sale of:	Amount
UNC Board of Trustees	Collegiate Plates	99,596.25
NC Department of Agriculture	Animal Lovers Plates	33,070.00
NC Wildlife Commission	Wildlife Plates	13,240.00
NC Department of Agriculture	First In Forestry Plates	5,820.00
NC Department of Public Safety	In God We Trust Plates	22,320.00
NC Community College	Harley Owners Grp. Plates	1,170.00
NC Department of Health & Human Services	Kids First Plates	4,080.00
NC Department of Transportation	Litter Prevention Plates	330.00
NC Wildlife Commission	Native Brook Trout Plates	8,481.67
NC Environmental Health & Natural Resources	Scuba Plates	2,390.00
NC Department of Transportation	Share the Road Plates	4,560.00
NC Wildlife Commission	Wildlife Plates	8,535.00
Order of the Long Leaf Pine	Order of the Long Leaf Pine	80.00
Friends of Appalachian Trl	Appalachian Trail Plates	28,240.00
Autism Society of NC	Autism Plates	2,540.00
Campbell University	Collegiate Plates	2,010.00
Core Sound Museum	Core Sound Museum Plates	8,080.00
Duke	Collegiate Plates	3,915.00
Elon University	Collegiate Plates	2,565.00
Great Smoky Mts.	Friends fo Great Smoky Mts. Natl. Park Plates	115,858.33
Gardner Webb University	Collegiate Plates	1,125.00
Homes 4 NC	HOMES4NC Plates	820.00
High Point University	Collegiate Plates	1,140.00
Lenoir Rhyne College	Collegiate Plates	960.00
Meredith College	Collegiate Plates	2,040.00
Ntl Multiple Sclerosis Society	National Multiple Sclerosis Society Plates	1,110.00
Maritime Museum	Maritime Museum Plates	1,700.00
Methodist University	Collegiate Plates	735.00
Native American	Native American Plates	6,960.00
NC Coastal Federation	NC Coastal Federation Plates	21,760.00
Olympic Games	Olympic Games Plates	330.00
State Capitol Foundation Inc	Retired Legislator House	80.00
State Capitol Foundation Inc	Retired Legislator Senate	60.00
NC State University Apiculture Program	Save the Honey Bee Plates (HB)	1,415.00
NC State University Apiculture Program	Save the Honey Bee Plates (SB)	3,410.00
Grandfather Mountain Stewardship Foundation	Save the Honey Bee Plates (SB)	3,410.00

North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate
Period Ending September 30, 2020

G.S. 20-81.12 Budget Code 24265

Exhibit B2 pg 2 of 3 - Disbursements

Payee	For the Sale of:	Amount
NC Surveyors	NC Surveyors Assoc Plates	2,280.00
NC Tennis Foundation	NC Tennis Foundation Plates	2,480.00
The V Foundation	V Foundation Plates	1,935.00
Wake Forest University	Collegiate Plates	3,450.00
NC Wildlife Habitat Foundation	NC Wildlife Habitat Plates	1,820.00
The NC Zoological Society	NC Zoological Plates	2,740.00
Arts North Carolina	Arts North Carolina Plates	9,845.00
King Mountain Gateway Trail	Battle of King Mountain Plates	290.00
City of King Mountain	Battle of King Mountain Plates	290.00
Buddy Pelletier Surfing Foundation	Buddy Pelletier Surfing Foundation Plates	3,540.00
Big Rock Blue Marlin Tournament	Big Rock Blue Marlin Tournament Plates	13,175.00
Blue Ridge Parkway Motorcycle	Blue Ridge Parkway Motorcycle Plates	-
Blue Ridge Parkway	Blue Ridge Parkway Plates	114,686.67
The Children's Oncology Group/Riley's Army Inc.	Kick Cancer for Kids Plates	2,620.00
Carolinas Golf Association	Carolina Golf Plates	4,260.00
Carolina Uplift Foundation	Carolina Uplift Foundation	1,730.00
Choose Life	Carolina Pregnancy Care	4,605.00
Coastal Land Trust	Coastal Land Trust Plates	8,140.00
Davidson College	Collegiate Plates	1,575.00
Donate Life	Donate Life Plates	3,590.00
NC Master Gardener	NC Master Gardner Plates	740.00
Forest Education & Conservation	First in Forestry Plates	5,820.00
NC State Lodge Fraternal Police	NC Fraternal Order of Police Plates	3,010.00
Guilford Battleground Company	Guilford Battleground Company Plates	1,750.00
Home Care and Hospice	Home Care and Hospice Plates	1,140.00
Historic Lighthouse	Historic Lighthouse Plates	-
International Assn of Firefighters	International Assn of Firefighters	2,540.00
Juvenile Diabetes Research	Juvenile Diabetes Research Plates	1,210.00
US Coast Guard	USO of North Carolina Plates	520.00
US Merchant Marine Academy	USO of North Carolina Plates	180.00
US Air Navel Academy	USO of North Carolina Plates	100.00
US Air Force Academy	USO of North Carolina	960.00
US Military Academy West Point	USO of North Carolina	1,240.00
Mountains To Sea Trail	Mountains to Sea Trail Plates	2,680.00
NC Horse Council	NC Horse Council Plates	3,020.00

North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate
Period Ending September 30, 2020

G.S. 20-81.12 Budget Code 24265

Exhibit B2 pg 3 of 3 - Disbursements

Payee	For the Sale of:	Amount
Friends of State Parks	Friends of NC State Park Plates	36,680.00
North Carolina Sheriffs Assoc	NC Sherriffs Assoc Plates	13,200.00
Nurses	Nurse Plates	5,670.00
National Wild Turkey Federation	National Wild Turkey Plates	2,490.00
Omega Psi Phi	Omega Psi Phi Plates	-
Carolina HealthCare Foundation	Carolina Panthers Keep Pounding Plates	23,400.00
Foundation For The Carolinas	Carolina Panthers Keep Pounding Plates	23,400.00
Piedmont Silver Eagles	Piedmont Airlines Silver Eagle Plates	1,710.00
Prince Hall Mason	Prince Hall Mason Plates	1,970.00
Rocky Mountain Elk	Rocky Mountain Elk Plates	4,770.00
Ronald McDonald House	Ronald McDonald House Plates	840.00
Shag Dancing	Shag Dancing Plates	835.00
Shaw University	Collegiate Plates	1,155.00
Support Our Troops	Support Our Troops Plates	5,520.00
Support Soccer	NC Soccer Hall of Fame Plates	1,260.00
Save the Sea Turtle	Save the Sea Turtle Plates	20,190.00
The Friends of the Graveyard	The Friends of the Graveyard	9,260.00
The Pisgah Conservancy	The Pisgah Conservancy	7,640.00
NC Trout Unlimited	Trout Unlimited Plates	2,170.00
NASCAR Foundation	NASCAR Plates	9,620.00
Zeta Phi Beta	Zeta Phi Beta Plates	1,190.00
ALPHA PHI ALPHA Fraternity	ALPHA Fraternity	2,940.00
Order of Eastern Plate	Order of Eastern Plate	860.00
Keeping the Lights On	Keeping the Lights On	6,305.00
Wrightsville Beach	Wrightsville Beach	270.00
Account Adjustment		-
Disbursements for Fiscal Year 2020-2021		805,242.92

North Carolina Department of Transportation
Drug Forfeiture Fund
Period Ending September 30, 2020

Budget Code(s) 64201, 64210, 64211

Exhibit C

The primary purpose of the U.S. Department of Justice and the U.S. Department of the Treasury Asset Forfeiture Program is to deter crime by depriving criminals of the profits and proceeds of their illegal activities and to weaken criminal enterprises by removing the instrumentalities of crime. An ancillary purpose of the program is to enhance cooperation among federal, state and local law enforcement agencies through the equitable sharing of Federal Forfeiture proceeds.

Any time the Division of Motor Vehicles License and Theft Bureau directly participates in an investigation or prosecution that results in a Federal Forfeiture, they may request an equitable share of the net proceeds of the forfeiture. This amount is determined as set forth in the U.S. Department of Justice and the U.S. Department of Treasury's publication "A Guide to Equitable Sharing of Federally Forfeited Property for State and Local Law Enforcement Agencies."

North Carolina Department of Transportation
Drug Forfeiture Fund
Period Ending September 30, 2020

Exhibit C1 - Balance Sheet

Assets:

Cash

Budget Code 64201	10,194.69	
Budget Code 64210	411,244.91	
Budget Code 64211	354,126.43	
Total Cash		<u>775,566.03</u>

Liabilities:

Payables

Budget Code 64201	10,194.69	
Budget Code 64210	411,244.91	
Budget Code 64211	354,126.43	
Total Payables Balance		775,566.03
Total Fund Balance		<u>-</u>
Total Liabilities and Fund Balance		<u>775,566.03</u>

North Carolina Department of Transportation
Drug Forfeiture Fund
Period Ending September 30, 2020

Exhibit C2 - Statement of Revenues and Expenditures

Budget Code 64201:

Amount Brought Forward	-	
Miscellaneous Revenue	10,178.09	
Interest Earned	16.60	
Disbursements	-	
Sub Total - 64201		10,194.69

Budget Code 64210:

Amount Brought Forward	-	
Miscellaneous Revenue	410,575.49	
Interest Earned	669.42	
Disbursements	-	
Sub Total - 64210		411,244.91

Budget Code 64211:

Amount Brought Forward	-	
Miscellaneous Revenue	353,546.28	
Interest Earned	580.15	
Disbursements	-	
Sub Total - 64211		354,126.43

Total Availability		775,566.03
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H.B. 1779 - Session Law 2005 - 294
Budget Code 64209

North Carolina Department of Transportation
DMV Property Tax Account
Period Ending September 30, 2020

Exhibit D - DMV Property Tax Account

Signed into law on August 22, 2005, HB 1779 was created to combine Motor Vehicle Registration renewal and Property Tax Collections for the state of North Carolina. Referred to as "Tag and Tax" system, the legislation requires the Division of Motor Vehicles to collect property tax on vehicles at registration renewal annually. In FY 2014 the system was implemented and NCDMV began receiving, tracking and forwarding tax collections to each of North Carolina's one hundred counties.

The following statements depict the status of related accounts as of current period end.

North Carolina Department of Transportation
DMV Property Tax Account
Period Ending September 30, 2020

Exhibit D - Balance Sheet

Assets:

Cash	95,912,615.93	
Due from other Funds	47.57	
Total Assets		95,912,663.50

Liabilities:

Payables:		
Accounts Payable	95,910,935.01	
Intragovernmental Payable	1,728.49	
Change in Fund Balance	-	
Total Liabilities		95,912,663.50

H.B. 1779 - Session Law 2005-294
Budget Code 64209

North Carolina Department of Transportation
DMV Property Tax Account
Period Ending September 30, 2020

Exhibit D2 - Disbursements

Disbursement Date	Amount	
July 31, 2020		102,301,878.41
August 31, 2020		96,727,084.49
September 30, 2020		95,910,935.01
October 31, 2020		-
November 30, 2020		-
December 31, 2020		-
January 31, 2021		-
February 28, 2021		-
March 31, 2021		-
April 30, 2021		-
May 31, 2021		-
June 30, 2021		-
Total Year to Date Disbursements to Counties		294,939,897.91

**North Carolina Department of Transportation
Disaster Relief Cash Flow Loan Fund
Period Ending September 30, 2020**

Budget Code 24266

Exhibit E

The primary purpose of the Disaster Relief Cash Flow Loan Fund is to be used as a loan for cash flow needs as a result of disaster recovery. The fund is a special fund in the Department of Transportation and used in accordance with Section 5 of Session Law 2019-15. For the 2018-2019 fiscal year, the State Controller transferred the sum of ninety million dollars (\$90,000,000) in nonrecurring funds from the unreserved fund balance in the General Fund to the Fund as an advance to the fund.

North Carolina Department of Transportation
Disaster Relief Cash Flow Loan Fund
Period Ending September 30, 2020

Exhibit E - Balance Sheet

Assets:

Cash	-	
Total Assets		-

Liabilities and Fund Balance:

Liabilities

Prior Year	-	
Current Year	-	
Advance from General Fund	-	
Total Liabilities		-

Fund Balance

Opening Fund Balance	-	
Revenues	(5,851,755.00)	
Expenditures	5,851,755.00	
Change in Fund Balance Year to Date	-	
Total Fund Balance		-

Total Liabilities and Fund Balance

-
-

**North Carolina Department of Transportation
Transportation Emergency Reserve Fund
Period Ending September 30, 2020**

Budget Code 24267

Exhibit F

The Transportation Emergency Reserve Fund is a special fund in the Department of Transportation and is created per Session Law 2019-251 Senate Bill 356. The fund shall only be used for major disasters after the President of the United States issues a declaration under the Stafford Act (42 U.S. C. 5121-5207) that a major disaster exists in the State. The funds shall not be used for snow and ice removal or non-declared emergency operations. The total funds in the Transportation Emergency Reserve Fund shall not exceed the sum of one hundred twenty-five million dollars (\$125,000,000)

North Carolina Department of Transportation
Transportation Emergency Reserve Fund
Period Ending September 30, 2020

Exhibit F - Balance Sheet

Assets:

Cash	64,000,000.00	
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Total Assets

64,000,000.00

Liabilities and Fund Balance:

Liabilities

Prior Year	-	
Current Year	-	
From General Fund	64,000,000.00	

Total Liabilities

64,000,000.00

Fund Balance

Opening Fund Balance	64,000,000.00	
Transfers from Highway Fund	-	
Transfers to Highway Fund	-	
Change in Fund Balance Year to Date	-	

Total Fund Balance

-

Total Liabilities and Fund Balance

64,000,000.00

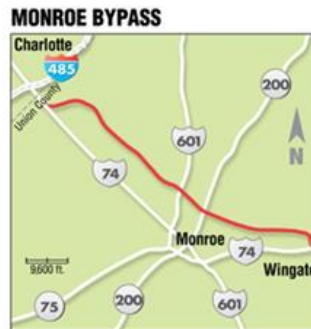


**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2021
For Period Ending September 30, 2020
Turnpike Authority**



Triangle Expressway



North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit A pg 1 of 3 - Balance Sheet

Assets:

Cash

State Treasurer Bank Balance	-	
Toll Operations Cash STIF	11,187,447.83	
TPA I-77 OST Bank Disbursing	18,538,664.88	
Transponder Disbursing	3,205,271.58	
Transponder Checks Issued	-	
Restricted Investments (Exhibit A p2)	397,003,394.17	
Total Cash		429,934,778.46

Current Assets

Accounts Receivable	31,274,203.15	
Due From Other Funds	118,534.65	
Inventory	946,344.21	
Other Current Assets	188,902.75	
Total Current Assets		32,527,984.76

Non Current Assets

Capital Assets		31,154,984.25
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Total Assets

493,617,747.47

Liabilities, Retained Earnings & Fund Balance:

Liabilities

Accounts Payable	5,432,676.09	
Intragovernmental Payable	20,926,219.11	
Due to Other Funds	5,678,964.62	
Purchase Orders Payable	13,589.27	
Customer Prepaid Account Balance	56,295.00	
Advance from Highway Trust Fund	29,853,535.68	
Bonds Payable	2,197,043,445.45	
TIFIA Accrued Interest Payable	6,607,486.61	
Anticipated Liquidated Damages	775,900.00	
Other Liabilities	8,303,121.73	
Total Liabilities		2,274,691,233.56

Retained Earnings

Retained Earnings	(1,699,978,885.74)
Investment in Property	21,344.42

Fund Balance

Opening Fund Balance	-	
Revenues	34,510,640.84	
Expenditures	(115,626,585.61)	
Change in Fund Balance Year to Date		(81,115,944.77)

Total Fund Balance

(81,115,944.77)

Total Liabilities, Retained Earnings & Fund Balance

493,617,747.47

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit A pg 2 of 3 - Balance Sheet

	Balance
Triangle Expressway	
TPA-Revenue Triangle 2009 Clearing 23534302	17.55
TPA-TE SA Revenue Fund 23604701	6,338,687.32
TPA-TE SA Capital Interest 2009 23604702	-
TPA-TE SA Interest Account 23604703	39.65
TPA-TE SA Reserve Fund 2009 23604707	8,519,508.43
TPA-TriEx Trustee TIFIA Debt Service Fund 23534316	-
TPA-TE APA Triangle 2009 23534301	-
TPA-SA Principal Fund 2009 23604708	1,890,562.00
TPA-TE Senior Par Reserve Acct Triangle 2009 23534308	-
TPA-TE TIFIA Debt Service Reserve Triangle 2009 23534317	-
TPA-TE Senior Interest Triangle 2009 23534304	0.13
TPA-TriEx General Reserve 2009 23534318	-
TPA-TriEx Operations and Maintenance Expense Fund	5,409,666.44
TPA-TRIEx Operating Reserve Fund	5,403,695.37
TPA-TRIEx Renewal & Replacement Fund	23,147,692.14
TPA-TE Escrow Trust Fund 2017 77302200	-
TPA-TE Cost of Issuance Fund 2017 77301304	-
TPA-TE Sub-Interest Account 2017 77301300	4,642,354.66
TPA-TE Sub-Principal Account 2017 77301301	6,346,636.28
TPA-TE Escrow Trust Fund 2018A 49428000	-
TPA-TE Cost of Issuance Fund 2018A 49427403	-
TPA-TE Sub-Interest Account 2018A 49427400	445.65
TPA-TE Sen Lien DSF Interest 2018T 82604700	8,282,241.55
TPA-TE Sen Lien DSF Principal 2018T 82604700	1,512,501.00
TPA-TE Cost of Issuance Fund 2018T 82604703	-
TPA-TE Cost of Issuance Fund 2018B 82601803	-
TPA-TE Interest Account 2018B 82601800	7,006.12
TPA-TE Sub-Principal Account 2018 82601801	775,026.40
TPA-TE C540 Interest Acct 2019 84002900	-
TPA-TE C540 Cap Interest Acct 2019 84002901	58,446,300.71
TPA-TE C540 Gen Reserve Unrestricted 2019 84002907	55,341,009.57
TPA-TE C540 Additional Project Acct 2019 84002908	81,780,815.98
TPA-TE C540 Cost of Issuance Rev 2019 84002905	7,372.93
TPA-TE C540 Project Acct Approp 2019 84006004	-
TPA-TE C540 Cost of Issuance Approp 2019 84006003	10,107.83
Cash Held for Triangle Expressway	267,861,687.71

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit A pg 3 of 3 - Balance Sheet

	Balance
Monroe Bypass	
TPA-MN SA Principal Account 2010 24071402	-
TPA-MN SA Project Fund 2010 24071406	-
TPA-MN SA Reserve Fund 2010 24071405	4,378,041.70
TPA-MN SA Interest Account 2010 24071401	701.05
TPA-MN SA Revenue Fund 2010 24071400	6,000,208.85
TPA-MN Project Fund \$10M 2011 83786200	-
TPA-MN Project Fund Appropriations Bonds 2011 24071407	-
TPA-MN Project Fund GARVEE 2011 15-6570-001	-
TPA-MN General Revenue Fund 2010 83786201	-
TPA-MN Senior Lien Interest 2011 83786202	-
TPA-MN Senior Lien Principal 2011 83786203	-
TPA-MN General Reserve 2011 83786209	-
TPA-MN Project Fund 2016 77127020	34,422,598.50
TPA-MN Debt Reserve Fund 2016 77127017	12,143,822.15
TPA-MN Revenue Fund 2016 77127000	-
TPA-MN Senir Interest Acct 2016 77127001	1,990,051.33
TPA-MN Renewal & Replacement 20016 77127009 77127010	6,277,661.79
TPA-MN Operations & Maintenance 2016 77127010	2,540,268.41
TPA-MN Operating Reserve Fund 2016 77127011	3,523,486.07
TPA-MN TIFIA Scheduled Prepayment 2016 77127012	783,149.58
TPA-MN Ramp up Reserve Fund 2016 77127013	32,472,677.97
TPA-MN Unpledged Account 2016 77127015	12,760,111.56
TPA-MN TIFIA Reserve 2016 77127019	11,848,927.50
TPA-MN Escrow Trust Fund 2016 77127022	-
Cash Held for Monroe Bypass	129,141,706.46
Currituck Bridge	-
Total Restricted Investment - Exhibit A, Page 1	397,003,394.17

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit B1 pg 1 of 2 - Statement of Revenue

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Toll Revenues							
Triangle Expressway	2,788,509.13	8,980,092.85	4,131,835.99	13,798,722.06	54,844,000.00	16,276,411.05	(7,296,318.20)
Monroe	1,788,218.67	5,669,769.74	2,110,285.32	5,954,980.92	20,326,000.00	5,845,263.40	(175,493.66)
Mid-Currituck	-	-	-	-	-	-	-
Total Toll Revenues	4,576,727.80	14,649,862.59	6,242,121.31	19,753,702.98	75,170,000.00	22,121,674.46	(7,471,811.87)
Other Revenue							
Process Service Fees							
TPA-Process Service Fee	(164,524.06)	(473,971.22)	1,328,369.22	3,585,066.94	5,870,000.00	1,831,633.54	(2,305,604.76)
TPA - Process Service Fee Uncollectible	(146,426.45)	(217,831.20)	(329,322.60)	(302,329.18)	-	-	(217,831.20)
Total Process Service Fees	(310,950.51)	(691,802.42)	999,046.62	3,282,737.76	5,870,000.00	1,831,633.54	(2,523,435.96)
Interest Income							
Toll STIF Interest Income	16,711.69	65,054.56	17,787.35	67,145.90	-	-	65,054.56
Triex Interest Income On Investments	283,600.47	1,140,077.97	389,029.15	1,377,231.29	-	-	1,140,077.97
Monroe Interest Income On Investments	79,659.41	308,570.04	71,921.54	349,923.64	-	-	308,570.04
Interest Income On Bond Proceeds	-	-	-	-	-	-	-
BABS Interest Rebate-Triangle Expressway	-	88,649.39	-	-	7,375,653.00	1,843,913.25	(1,755,263.86)
BABS Interest Rebate-Monroe	-	2,038,331.13	-	-	4,011,284.00	1,002,821.01	1,035,510.12
Total Interest Income	379,971.57	3,640,683.09	478,738.04	1,794,300.83	11,386,937.00	2,846,734.26	793,948.83
Transfers In/Out							
Transfer In From STI GAP Triangle Expressway	-	6,250,000.00	-	6,250,000.00	25,000,000.00	6,250,000.00	-
Transfer In From STI GAP Monroe	-	6,000,000.00	-	6,000,000.00	24,000,000.00	6,000,000.00	-
Transfer In From STI GAP Mid-Currituck	-	-	-	-	-	-	-
Transfer In From STI GAP Garden Park	-	-	-	-	-	-	-
Total Transfers In/Out	-	12,250,000.00	-	12,250,000.00	49,000,000.00	12,250,000.00	-
Miscellaneous Income/Expenses							
Returned Check Fee	200.00	825.00	475.00	2,025.00	-	-	825.00
TPA Interest Expense	-	-	-	-	-	-	-
TPA Reciprocal Expense	(9.07)	(9.07)	(5.87)	(5.87)	-	-	(9.07)
TPA Bonds Deferred Loss Amortization	-	-	-	-	-	-	-
Interest Due To HTF	(81,017.10)	(81,017.10)	(47,712.71)	(94,299.94)	-	-	(81,017.10)
VECTOR Expense Adjustment	-	(10.00)	-	-	-	-	(10.00)
Sales Tax Adjustment	-	-	-	-	-	-	-
TPA-Other Revenue	-	-	-	-	-	-	-
Transponder Sales	40,698.18	134,208.09	88,973.01	346,763.07	-	-	134,208.09
Transponder Expense	(48,670.89)	(158,345.57)	(103,865.66)	(398,093.65)	-	-	(158,345.57)
Transponder Inventory Adjustment	(44.40)	(155.40)	(168.89)	(692.98)	-	-	(155.40)
Total Miscellaneous Income/Expenses	(88,843.28)	(104,504.05)	(62,305.12)	(144,304.37)	-	-	(104,504.05)
Non Toll Revenue	(19,822.22)	15,094,376.62	1,415,479.54	17,182,734.22	66,256,937.00	16,928,367.80	(1,833,991.18)
Total Revenue	4,556,905.58	29,744,239.21	7,657,600.85	36,936,437.20	141,426,937.00	39,050,042.26	(9,305,803.05)

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit B1 pg 2 of 2 - Statement of Revenue

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue
Toll Revenues								
Triangle Expressway	3,048,764.90	-	260,255.77	2,788,509.13	9,390,380.26	-	410,287.41	8,980,092.85
Monroe	1,788,218.67	-	-	1,788,218.67	5,669,769.74	-	-	5,669,769.74
Mid-Currituck	-	-	-	-	-	-	-	-
Total Toll Revenues	4,836,983.57	-	260,255.77	4,576,727.80	15,060,150.00	-	410,287.41	14,649,862.59
Other Revenue								
Process Service Fees								
TPA-Process Service Fee	(164,524.06)	-	-	(164,524.06)	(473,971.22)	-	-	(473,971.22)
TPA - Process Service Fee Uncollectible	(146,426.45)	-	-	(146,426.45)	(217,831.20)	-	-	(217,831.20)
Total Process Service Fees	(310,950.51)	-	-	(310,950.51)	(691,802.42)	-	-	(691,802.42)
Interest Income								
Toll STIF Interest Income	16,711.69	-	-	16,711.69	65,054.56	-	-	65,054.56
Triex Interest Income On Investments	283,600.47	-	-	283,600.47	1,140,077.97	-	-	1,140,077.97
Monroe Interest Income On Investments	79,659.41	-	-	79,659.41	308,570.04	-	-	308,570.04
Interest Income On Bond Proceeds	-	-	-	-	-	-	-	-
BABS Interest Rebate-Triangle Expressway	-	-	-	-	88,649.39	-	-	88,649.39
BABS Interest Rebate-Monroe	-	-	-	-	2,038,331.13	-	-	2,038,331.13
Total Interest Income	379,971.57	-	-	379,971.57	3,640,683.09	-	-	3,640,683.09
Transfers In/Out								
Transfer In From STI GAP Triangle Expressway	-	-	-	-	6,250,000.00	-	-	6,250,000.00
Transfer In From STI GAP Monroe	-	-	-	-	6,000,000.00	-	-	6,000,000.00
Transfer In From STI GAP Mid-Currituck	-	-	-	-	-	-	-	-
Transfer In From STI GAP Garden Park	-	-	-	-	-	-	-	-
Total Transfers In/Out	-	-	-	-	12,250,000.00	-	-	12,250,000.00
Miscellaneous Income/Expenses								
Returned Check Fee	200.00	-	-	200.00	825.00	-	-	825.00
TPA Interest Expense	-	-	-	-	-	-	-	-
TPA Reciprocal Expense	(9.07)	-	-	(9.07)	(9.07)	-	-	(9.07)
TPA Bonds Deferred Loss Amortization	-	-	-	-	-	-	-	-
Interest Due To HTF	(81,017.10)	-	-	(81,017.10)	(81,017.10)	-	-	(81,017.10)
VECTOR Expense Adjustment	-	-	-	-	(10.00)	-	-	(10.00)
Sales Tax Adjustment	-	-	-	-	-	-	-	-
TPA-Other Revenue	-	-	-	-	-	-	-	-
Transponder Sales	40,698.18	-	-	40,698.18	134,208.09	-	-	134,208.09
Transponder Expense	(48,670.89)	-	-	(48,670.89)	(158,345.57)	-	-	(158,345.57)
Transponder Inventory Adjustment	(44.40)	-	-	(44.40)	(155.40)	-	-	(155.40)
Total Miscellaneous Income/Expenses	(88,843.28)	-	-	(88,843.28)	(104,504.05)	-	-	(104,504.05)
Non Toll Revenue	(19,822.22)	-	-	(19,822.22)	15,094,376.62	-	-	15,094,376.62
Total Revenue	4,817,161.35	-	260,255.77	4,556,905.58	30,154,526.62	-	410,287.41	29,744,239.21

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit B2 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Current Year Actual Revenue		Prior Year Actual Revenue		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Operations/Maintenance								
Damage Claims to Toll Roads	(3,348.50)	230,664.96	227,316.46	163,143.75	230,664.96	-	(614.00)	(3,348.50)
Operations/Maintenance	(3,348.50)	230,664.96	227,316.46	163,143.75	230,664.96	-	(614.00)	(3,348.50)
FHWA Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Federal-Aid	965,297.00	-	965,297.00	-	-	19,258.00	28,755.00	965,297.00
GARVEE NHS 2011 Issuance	-	-	-	-	-	-	-	-
Property Owner's Participation	3,185,238.33	249,815.82	3,435,054.15	-	1,282,198.97	-	-	2,152,855.18
FHWA Construction	4,150,535.33	249,815.82	4,400,351.15	-	1,282,198.97	19,258.00	28,755.00	3,118,152.18
Total Participation Revenues	4,147,186.83	480,480.78	4,627,667.61	163,143.75	1,512,863.93	19,258.00	28,141.00	3,114,803.68

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit B3 pg 1 of 2 - Other Financing Sources (Uses)

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Other Financing							
TP-Bond Premium Amortization	-	-	-	-	-	-	-
Total Other Financing	-	-	-	-	-	-	-
Transfers In/Out							
Transfer In From HF Project Participation	343,936.00	2,272,811.32	971,616.59	(31,837,119.98)	-	-	2,272,811.32
Transfer In From STI Project Participation	6,277.95	64,394.48	5,068,701.37	10,483,091.16	-	-	64,394.48
Transfer In From HF Transponder	-	-	-	-	-	-	-
Transfer To HF From TPA	-	-	-	-	-	-	-
Transfer To GARVEE From TPA	-	-	-	-	-	-	-
Fiscal Agent Fees	-	-	-	-	-	-	-
Gain on Debt Reclass	-	-	-	-	-	-	-
Total Transfers In/Out	350,213.95	2,337,205.80	6,040,317.96	(21,354,028.82)	-	-	2,337,205.80
Total Other Financing Sources (Uses)	350,213.95	2,337,205.80	6,040,317.96	(21,354,028.82)	-	-	2,337,205.80

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit B3 pg 2 of 2 - Other Financing Sources (Uses)

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue
Other Financing								
TP-Bond Premium Amortization	-	-	-	-	-	-	-	-
Total Other Financing	-	-	-	-	-	-	-	-
Transfers In/Out								
Transfer In From HF Project Participation	343,936.00	-	-	343,936.00	2,272,811.32	-	-	2,272,811.32
Transfer In From STI Project Participation	6,277.95	-	-	6,277.95	64,394.48	-	-	64,394.48
Transfer In From HF Transponder	-	-	-	-	-	-	-	-
Transfer To HF From TPA	-	-	-	-	-	-	-	-
Transfer To GARVEE From TPA	-	-	-	-	-	-	-	-
Fiscal Agent Fees	-	-	-	-	-	-	-	-
Gain on Debt Reclass	-	-	-	-	-	-	-	-
Total Transfers In/Out	350,213.95	-	-	350,213.95	2,337,205.80	-	-	2,337,205.80
Total Other Financing Sources (Uses)	350,213.95	-	-	350,213.95	2,337,205.80	-	-	2,337,205.80

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit C Page 1 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments & Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Current Accounts								
Turnpike Authority Administration	-	-	-	-	-	-	0.00	(0.00)
Administrative Expenditures	-	1,149,361.00	-	116,173.14	316,676.94	1,149,361.00	832,684.06	(316,676.94)
Trust Fund Transfer	-	(1,149,361.00)	-	(116,062.03)	(316,419.80)	(1,149,361.00)	(832,941.20)	316,419.80
Interest Income	-	-	-	(111.11)	(257.14)	-	257.14	257.14
Allocations	-	-	-	-	-	-	-	-
Orders And Others	-	-	-	(7,835.32)	(24,412.62)	-	24,412.62	24,412.62
Field Operations	-	-	-	(1,156.68)	(528.98)	-	528.98	528.98
Turnpike Authority Special Funding	-	371,233,057.43	50,070,047.97	-	50,070,047.97	321,163,009.46	321,163,009.46	-
Triangle Expressway	-	280,492,764.01	28,737,988.12	-	28,737,988.12	251,754,775.89	251,754,775.89	-
Monroe Bypass	-	75,740,293.42	21,332,059.85	-	21,332,059.85	54,408,233.57	54,408,233.57	-
Mid Currituck Bridge	-	15,000,000.00	-	-	-	15,000,000.00	15,000,000.00	-
Garden Parkway	-	-	-	-	-	-	-	-
Total Current Accounts	-	371,233,057.43	50,070,047.97	(8,992.00)	50,045,106.37	321,163,009.46	321,187,951.06	24,941.60
Encumbrance Accounts								
Turnpike Construction	458,443,269.41	787,290,958.65	32,033,197.56	22,671,865.28	74,045,147.52	755,257,761.09	1,171,689,080.54	416,431,319.45
Total Encumbrance Accounts	458,443,269.41	787,290,958.65	32,033,197.56	22,671,865.28	74,045,147.52	755,257,761.09	1,171,689,080.54	416,431,319.45
Total Turnpike Authority	458,443,269.41	1,158,524,016.08	82,103,245.53	22,662,873.28	124,090,253.89	1,076,420,770.55	1,492,877,031.60	416,456,261.05

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit C Page 2 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments & Expenditures

	Previous Year			Current Year			Compared to Previous		
	Yearly Appropriations	Period Expenditures	Year to Date Expenditures	Yearly Appropriations	Period Expenditures	Year to Date Expenditures	Appropriations	Period Expenditures	Year to Date Expenditures
Current Accounts									
Turnpike Authority Administration	-	180.00	180.00	-	-	-	-	(180.00)	(180.00)
Administrative Expenditures	980,741.00	80,880.00	240,476.59	1,149,361.00	116,173.14	316,676.94	168,620.00	35,293.14	76,200.35
Trust Fund Transfer	(980,741.00)	(80,880.00)	(240,523.64)	(1,149,361.00)	(116,062.03)	(316,419.80)	(168,620.00)	(35,182.03)	(75,896.16)
Interest Income	-	-	47.05	-	(111.11)	(257.14)	-	(111.11)	(304.19)
Orders And Others	-	(23,251.87)	(106,160.49)	-	(7,835.32)	(24,412.62)	-	15,416.55	81,747.87
Field Operations	-	-	4,196.00	-	(1,156.68)	(528.98)	-	(1,156.68)	(4,724.98)
Turnpike Authority Special Funding	330,470,651.33	-	43,776,907.22	371,233,057.43	-	50,070,047.97	40,762,406.10	-	6,293,140.75
Triangle Expressway	252,389,461.46	-	20,966,975.79	280,492,764.01	-	28,737,988.12	28,103,302.55	-	7,771,012.33
Monroe Bypass	63,081,189.87	-	22,809,931.43	75,740,293.42	-	21,332,059.85	12,659,103.55	-	(1,477,871.58)
Mid Currituck Bridge	15,000,000.00	-	-	15,000,000.00	-	-	-	-	-
Garden Parkway	-	-	-	-	-	-	-	-	-
Total Current Accounts	330,470,651.33	(23,071.87)	43,675,122.73	371,233,057.43	(8,992.00)	50,045,106.37	40,762,406.10	14,079.87	6,369,983.64
Encumbrance Accounts									
Turnpike Construction	621,817,517.22	25,506,643.77	53,394,016.36	787,290,958.65	22,671,865.28	74,045,147.52	165,473,441.43	(2,834,778.49)	20,651,131.16
Total Encumbrance Accounts	621,817,517.22	25,506,643.77	53,394,016.36	787,290,958.65	22,671,865.28	74,045,147.52	165,473,441.43	(2,834,778.49)	20,651,131.16
Total Turnpike Authority	952,288,168.55	25,483,571.90	97,069,139.09	1,158,524,016.08	22,662,873.28	124,090,253.89	206,235,847.53	(2,820,698.62)	27,021,114.80

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit D - Statement of Federal Forward Funding

	Fiscal Year					Year to Date
	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	
System Type						
FHWA Turnpike STP	27,128,028.00	-	-	-	-	27,128,028.00
FHWA Turnpike NHPP	52,611,820.00	10,206,160.00	10,206,160.00	684,160.00	6,841,600.00	80,549,900.00
FHWA Turnpike NHS	-	-	-	-	-	-
Total	79,739,848.00	10,206,160.00	10,206,160.00	684,160.00	6,841,600.00	107,677,928.00

Note: Federal cash flow is converted throughout the federal fiscal year which begins October 1 and ends September 30 of each year.

North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020

Exhibit E1 - GARVEE Bonds Projects by Category

	Interstate Maintenance	National Highway System	Surface Transportation Program	National Highway Performance	Total
Fiscal Year					
2020-2021	-	-	-	50,966,000.00	50,966,000.00
2021-2022	-	-	-	25,483,000.00	25,483,000.00
2022-2023	-	-	-	25,483,000.00	25,483,000.00
2023-2024	-	-	-	25,483,000.00	25,483,000.00
2024-2025	-	-	-	25,483,000.00	25,483,000.00
2025-2026	-	-	-	25,483,000.00	25,483,000.00
2026-2027	-	-	-	25,483,000.00	25,483,000.00
2027-2028	-	-	-	25,483,000.00	25,483,000.00
2028-2029	-	-	-	25,483,000.00	25,483,000.00
2029-2030	-	-	-	25,483,000.00	25,483,000.00
2030-2031	-	-	-	25,483,000.00	25,483,000.00
2031-2032	-	-	-	25,483,000.00	25,483,000.00
2032-2033	-	-	-	25,483,000.00	25,483,000.00
2033-2034	-	-	-	25,483,000.00	25,483,000.00
2034-2035	-	-	-	-	-
Total	-	-	-	382,245,000.00	382,245,000.00

**North Carolina Department of Transportation
Turnpike Fund
Period Ending September 30, 2020**

Notes